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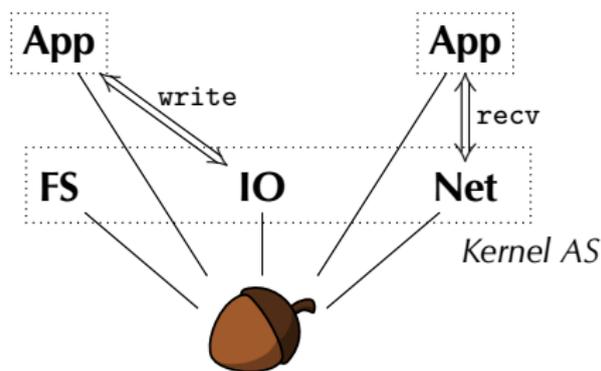
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Capabilities in seL4

David Cock

May 13, 2015

Microkernels



- Partition an OS into servers.
- Small, trusted kernel.
- Core primitives:

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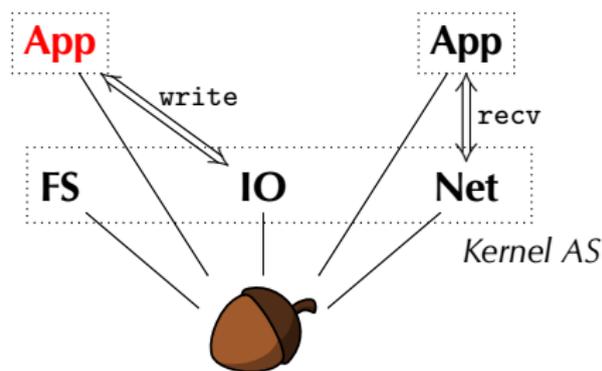
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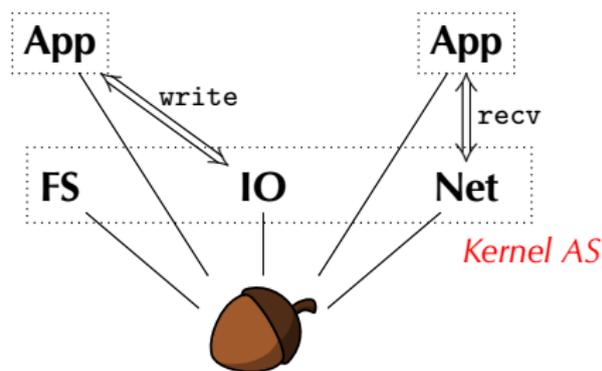
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Microkernels



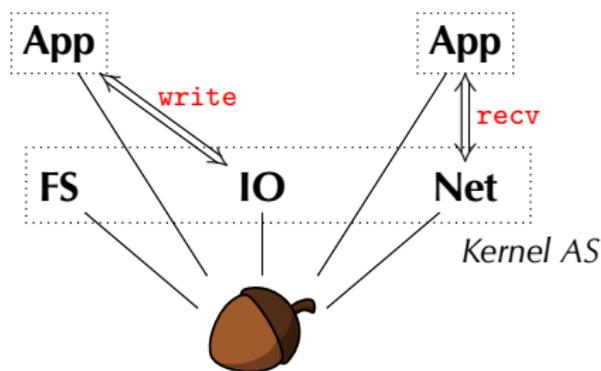
- Partition an OS into servers.
- Small, trusted kernel.
- Core primitives:
 - Threads

Microkernels



- Partition an OS into servers.
- Small, trusted kernel.
- Core primitives:
 - Threads
 - Address spaces

Microkernels



- Partition an OS into servers.
- Small, trusted kernel.
- Core primitives:
 - Threads
 - Address spaces
 - IPC

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seL4



- Classical μ kernel.
 - 1 CPU performance.
 - Embedded systems.
 - High assurance/verified.
 - Multikernel.
 - Scalability.
 - Large systems.
-
- The seL4 capability system was adapted to Barrelfish.
 - Concurrency means real challenges.

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Systems on a Microkernel

An seL4/Barrelfish system is a set of processes, built from:

Kernel Objects

- Execution contexts (Barrelfish) / Threads (seL4).
- Communication endpoints.

Hardware Objects

- Memory regions (frames).
- Address translations (page tables).
- Interrupt routing tables.

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Access Control in seL4/Barrelfish

Subjects are user-level processes. *Object* access is kernel (seL4) / CPU driver (BF) -enforced.

Kernel Objects are only accessed during system calls, where the kernel checks permissions.

Hardware Objects are accessed through hardware security mechanisms (e.g. MMU), which are configured by the kernel via system calls.

The kernel and MMU form a *reference monitor*.

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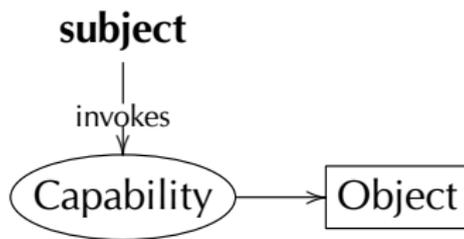
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Authority is granted by *capabilities* (caps):

- Unforgeable (kernel/CPU driver checked).
- Transferrable.
- Extensible.

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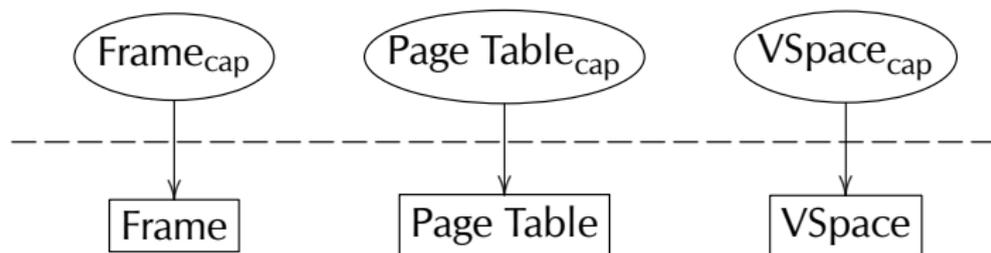
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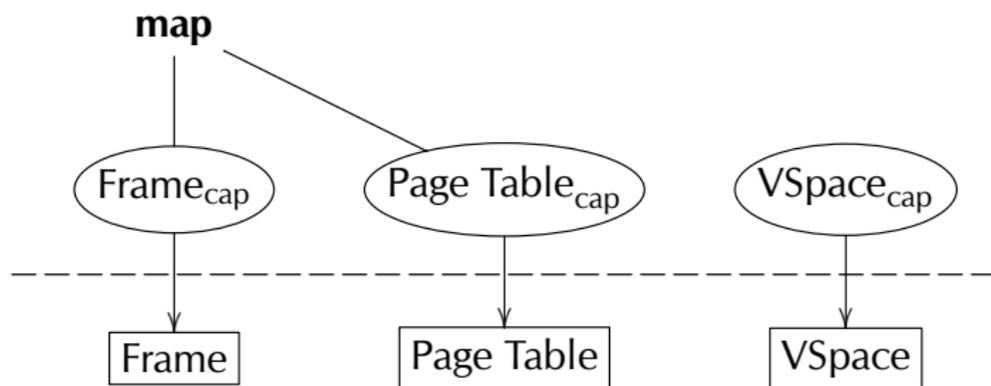
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- All objects referred to by caps.
- All system calls are cap invocations.
- Hardware structures mirrored in cap structure.
- Kernel ops are (mostly) *atomic*, also *local* on Barrelfish.

The Capability System



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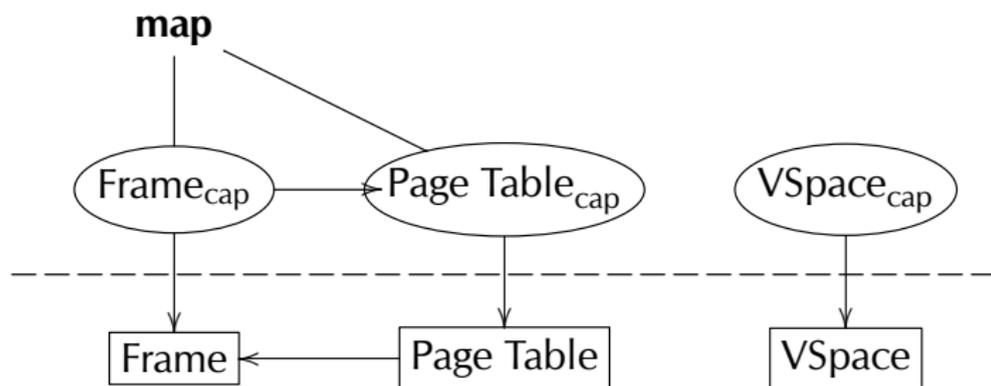
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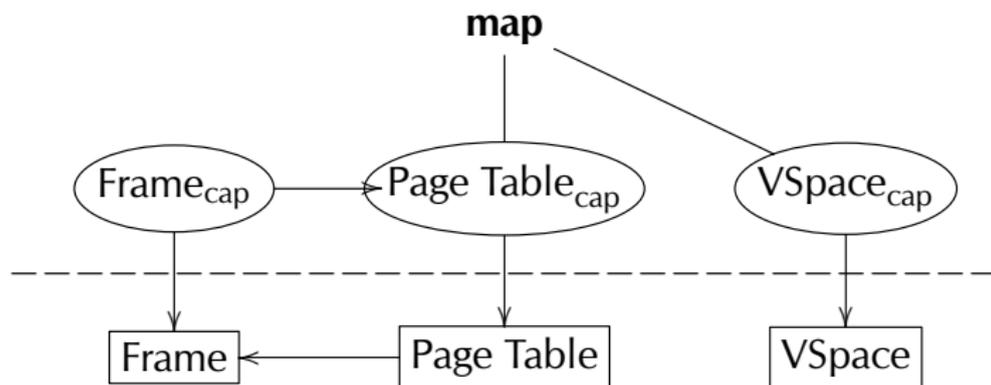
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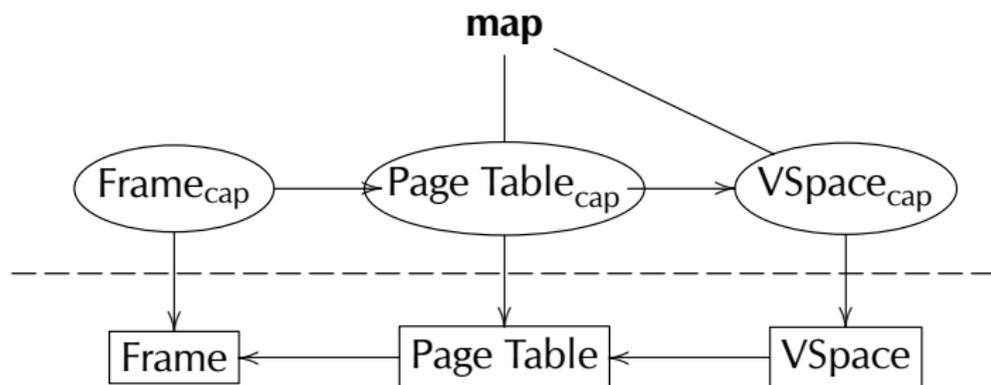
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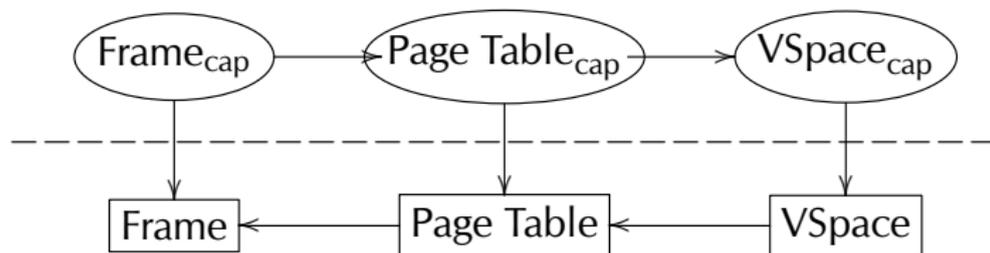
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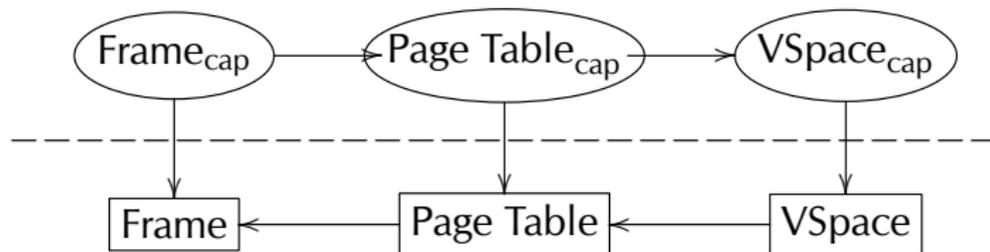
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CSpaces and Authority

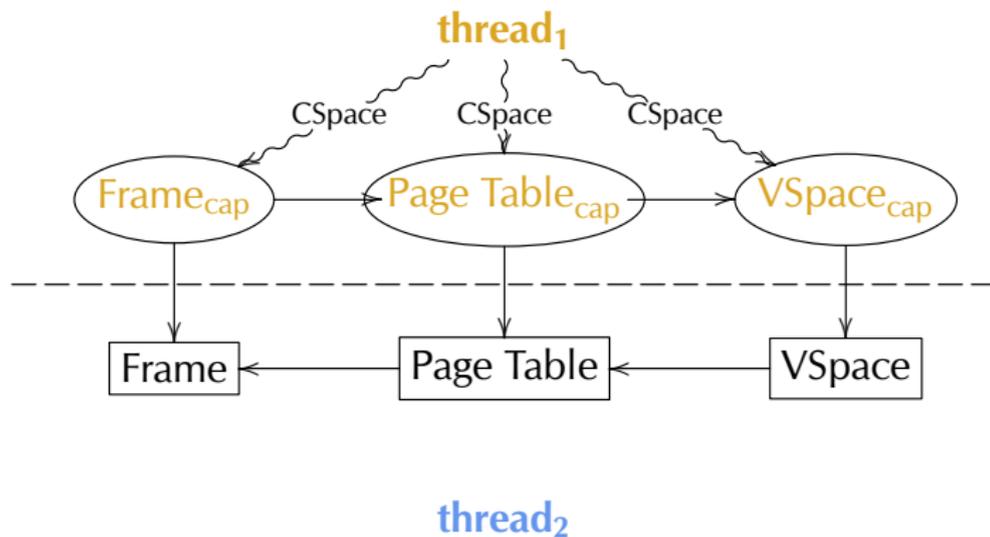
thread₁



thread₂

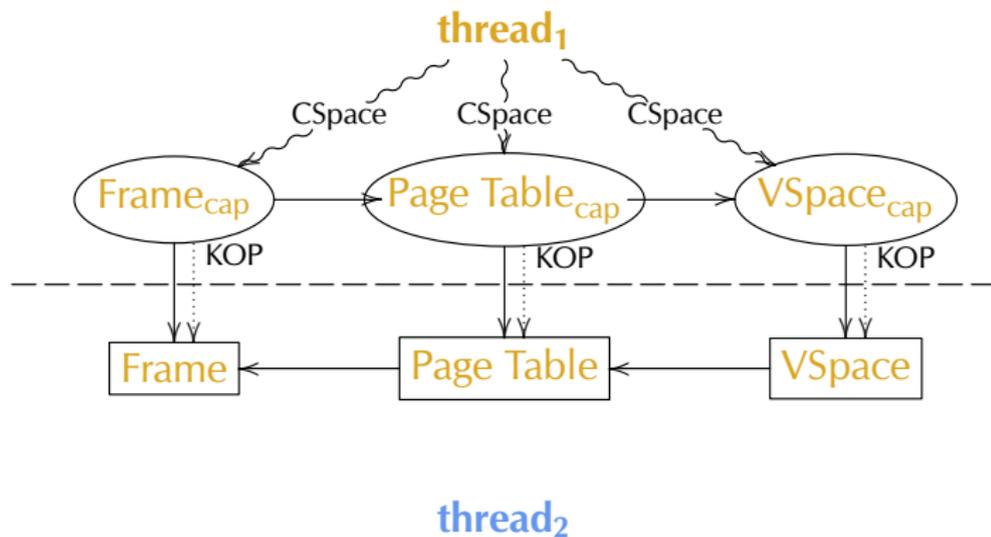
- CSpaces hold caps: *explicit authority*.

CSpaces and Authority



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CSpaces and Authority



- *CSpaces hold caps: explicit authority.*

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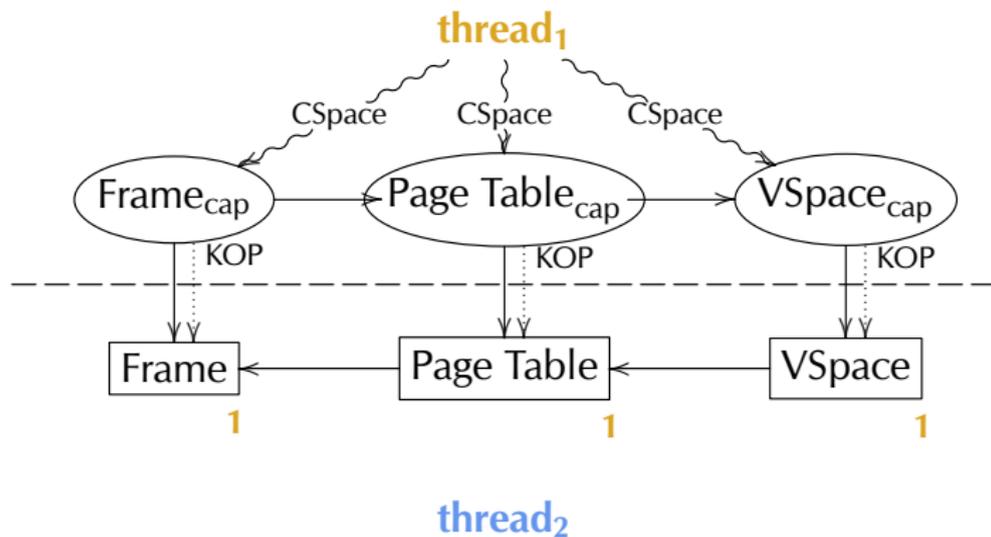
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- *CSpaces hold caps: explicit authority.*

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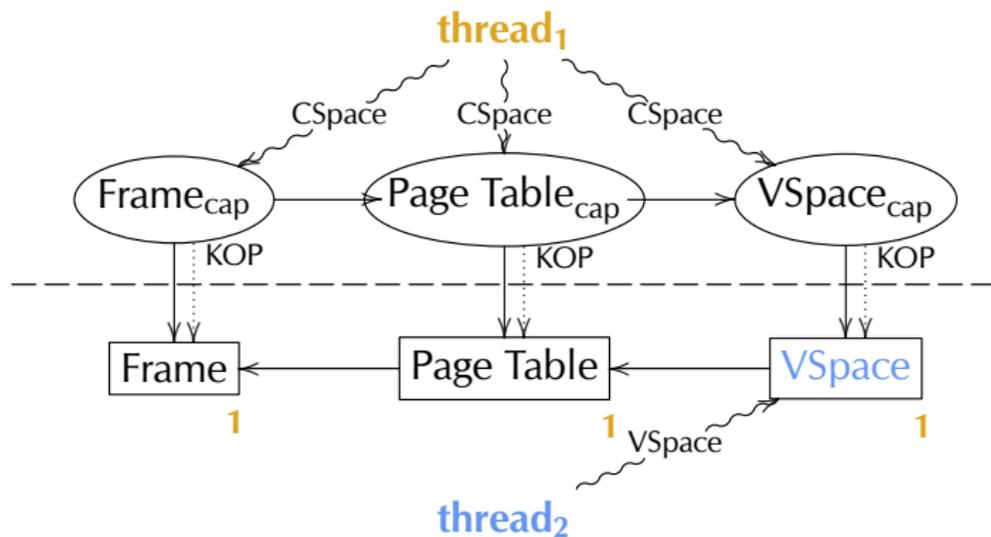
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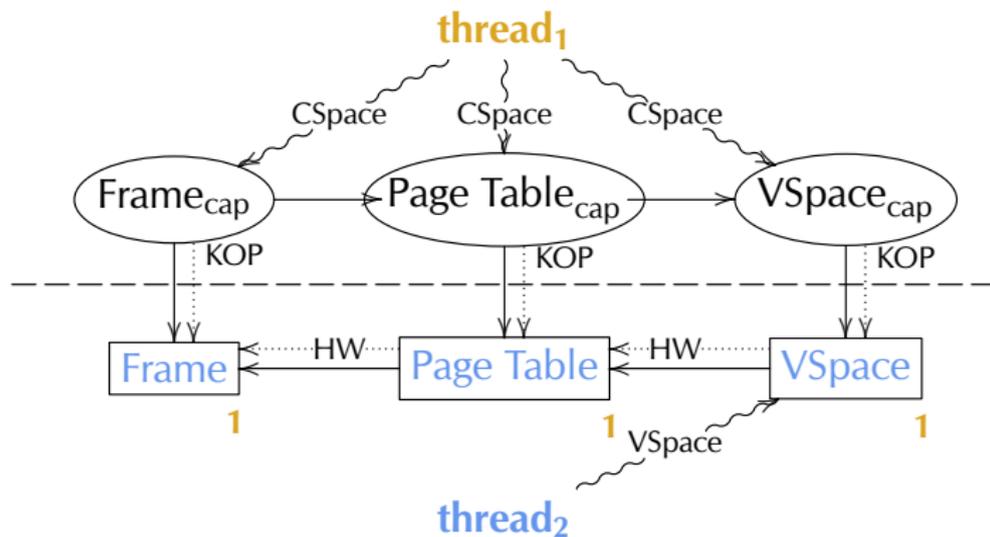
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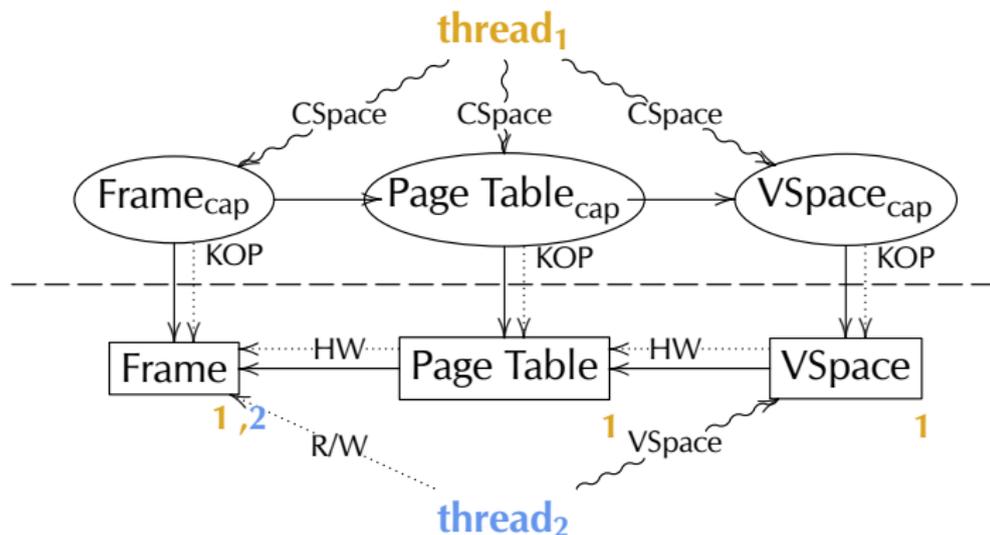
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CSpaces and Authority



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- HW gives *implicit authority* e.g. read/write.

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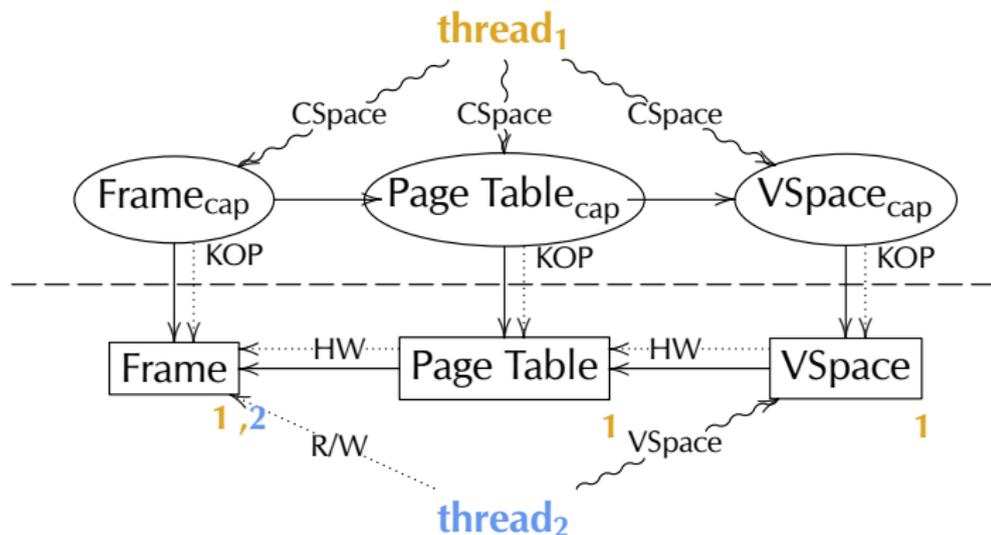
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- implicit authority \rightarrow explicit authority.

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Kernel Resource Allocation



Traditional kernels, including L4, allocate resources for clients: Scheduling queues, IPC queues,

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Kernel Resource Allocation



Traditional kernels, including L4, allocate resources for clients: Scheduling queues, IPC queues,

- Threads compete for shared resources.
- Hard to account to threads.
- Allocation policy in the kernel.

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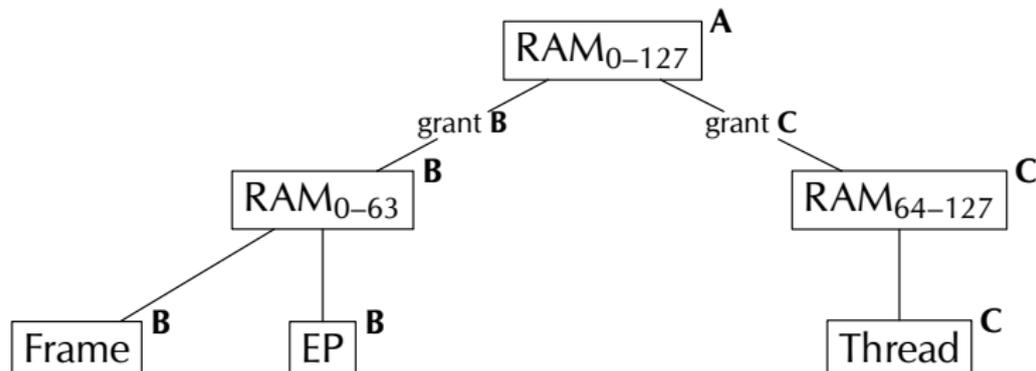
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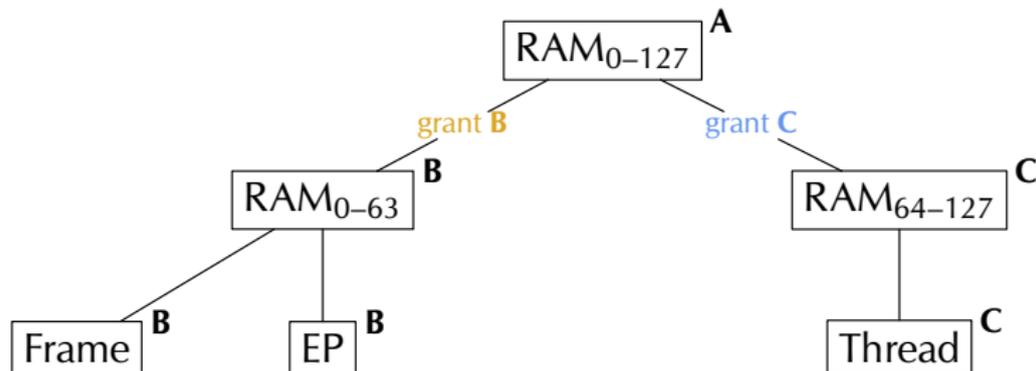
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- Resource manager **A** *retypes* (splits) a RAM object.



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- **A** *grants* new caps to *mutually untrusting* **B** & **C**.

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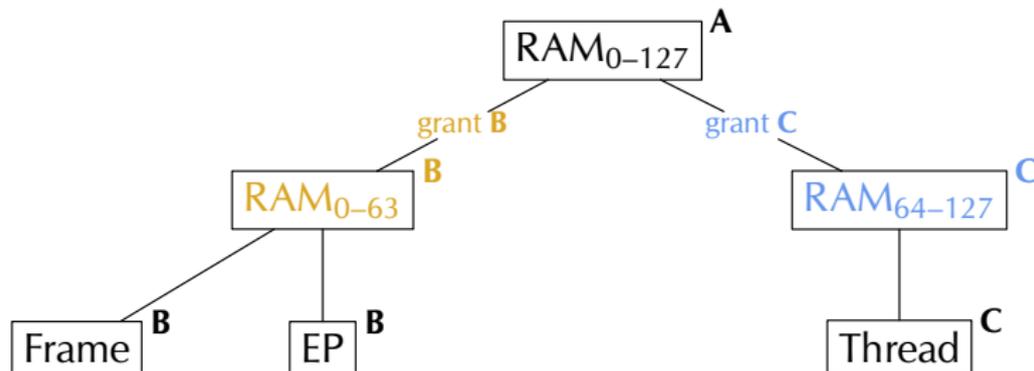
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- Resource manager **A** *retypes* (splits) a RAM object.
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- **B** & **C** now have partitioned resources.

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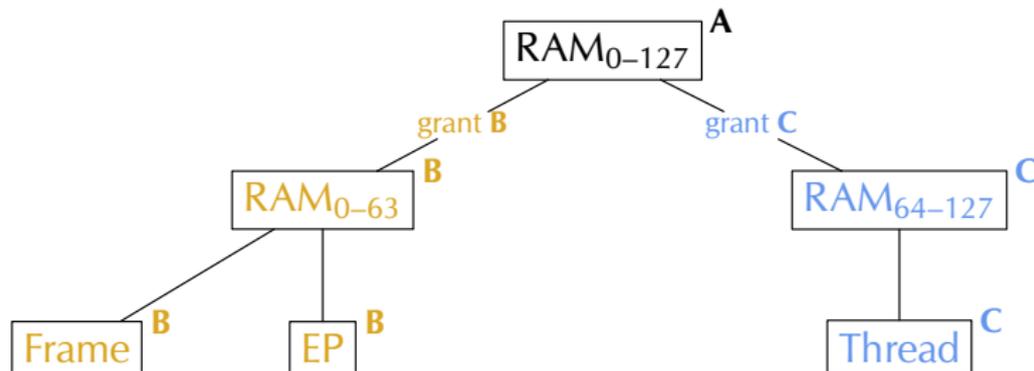
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- Resource manager **A** *retypes* (splits) a RAM object.
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- **B** & **C** now have partitioned resources.
- They can perform further retyping themselves.

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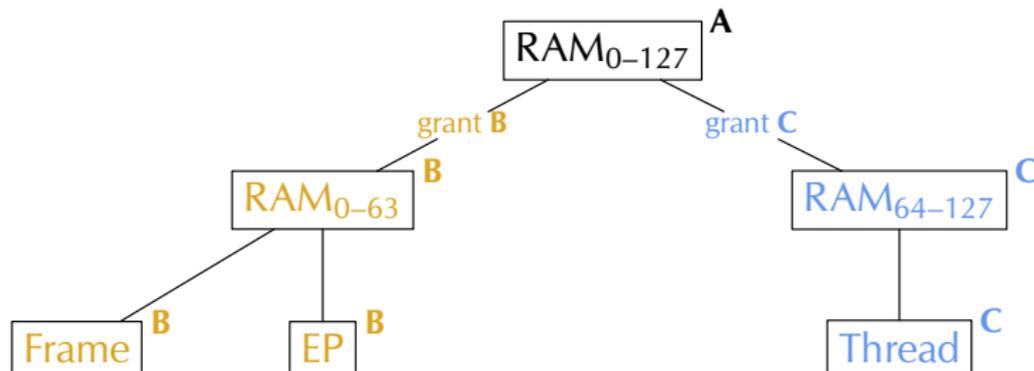
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- Resource manager **A** *retypes* (splits) a RAM object.
- **A** *grants* new caps to *mutually untrusting* **B** & **C**.
- **B** & **C** now have partitioned resources.
- They can perform further retyping themselves.
- All kernel & user resources are allocated thusly.

The Authority Database Model

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The kernel maintains a database of valid capabilities, with requirements:

Atomicity Users (subjects) always see a consistent state.

Performance Cap lookup is on the critical path.

No Allocation Bookkeeping must be stored somewhere.

I will describe the seL4/sequential case. Simon will discuss the Barrelfish/concurrent case.

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- CNodes objects store caps and bookkeeping.

CNodes



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- A CSpace is all caps reachable from a CRoot.

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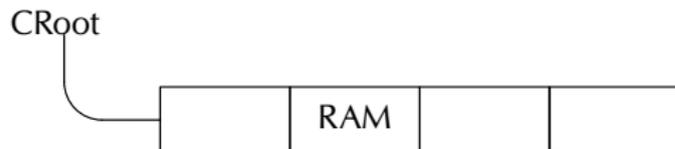
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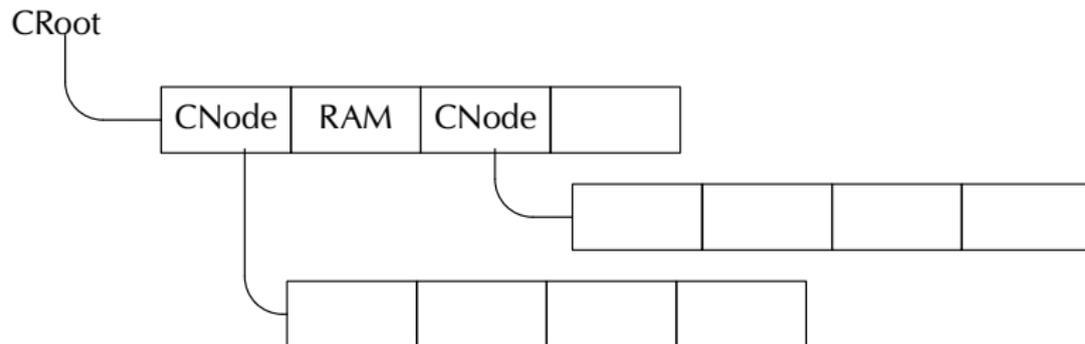
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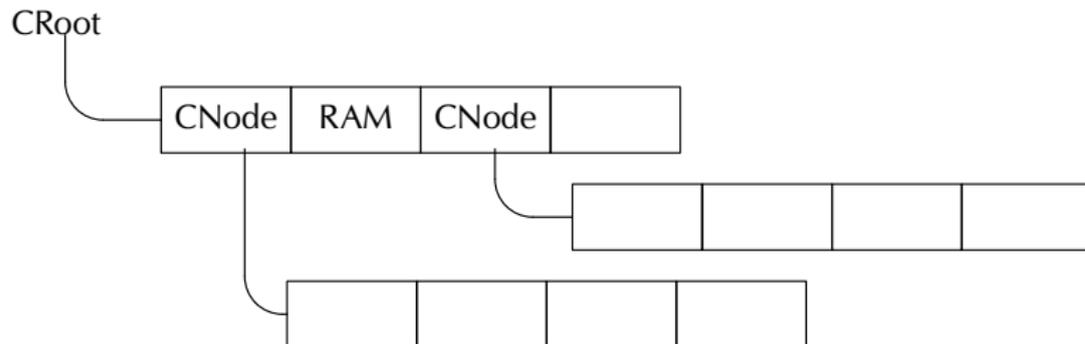
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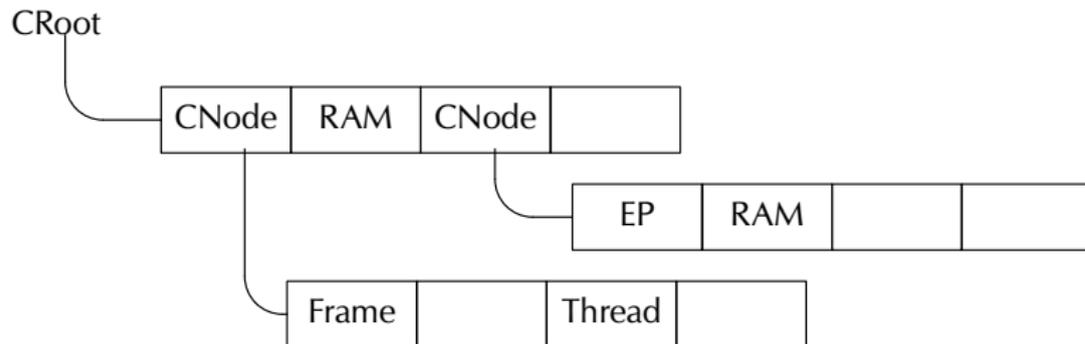
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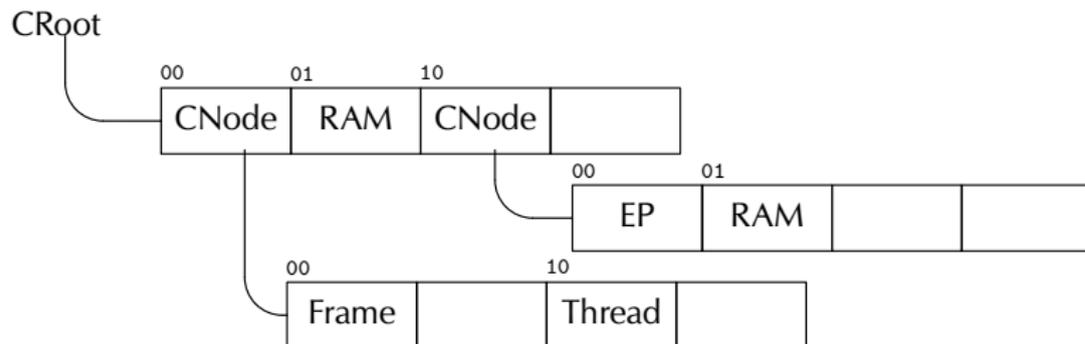
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- What's at 1000?

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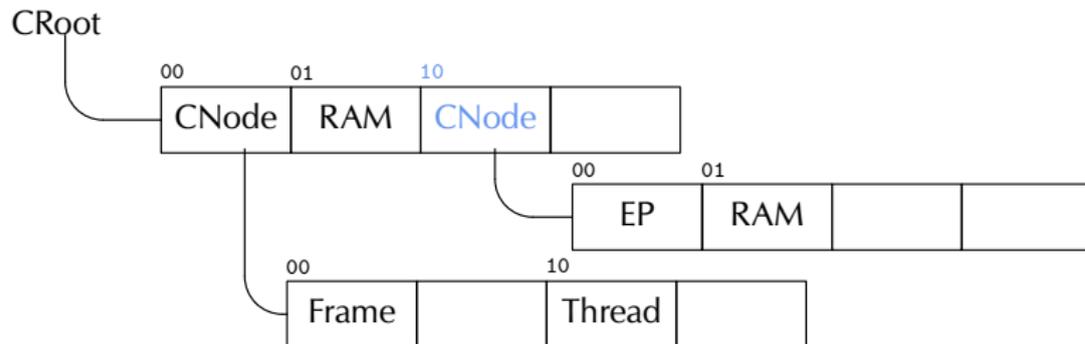
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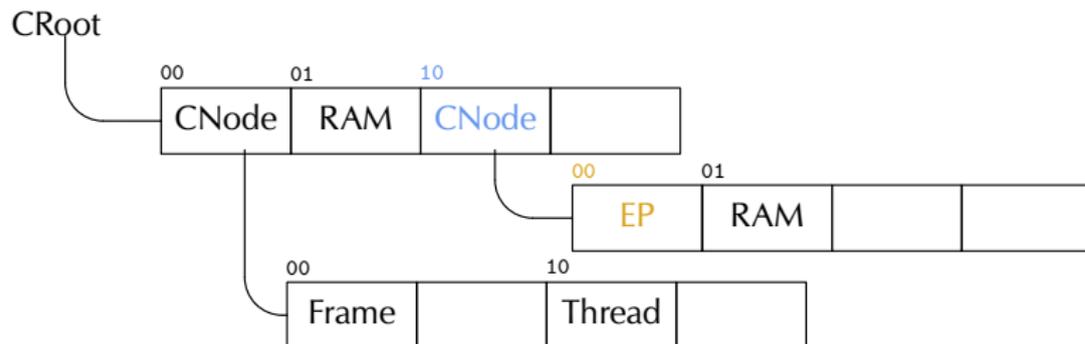
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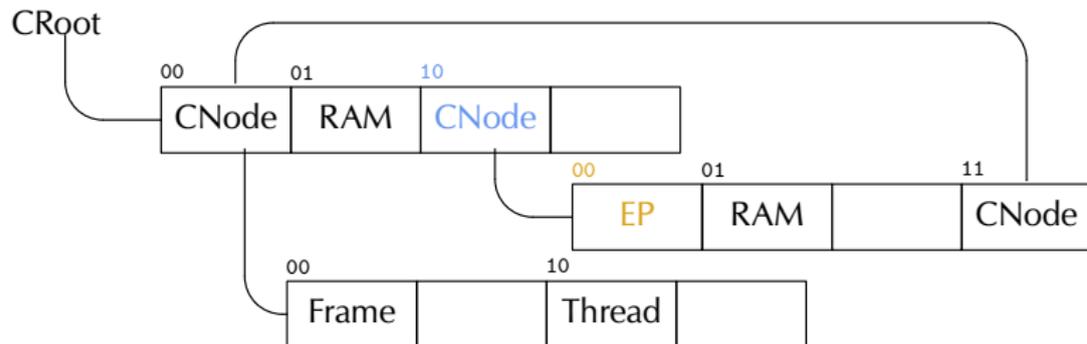
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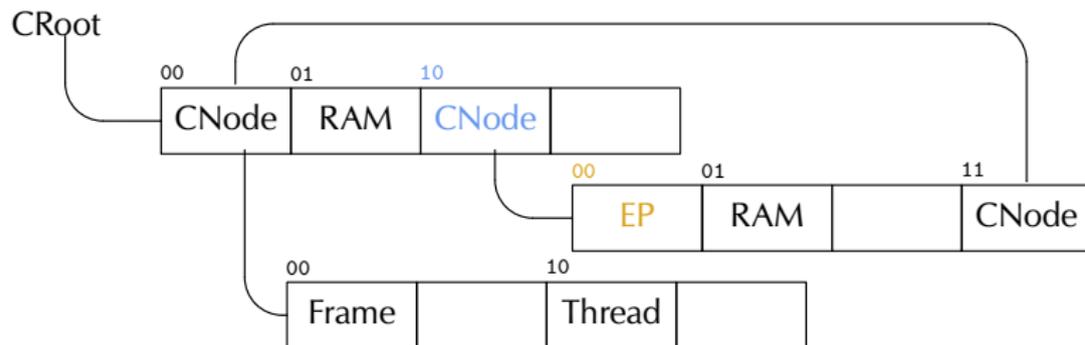
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- Every invocation is an authority DB query.

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These *mutate* the authority DB:

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Mint/Retype Derive new sub-objects, and caps to them.

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Copy Create a new cap to an object. The old and new caps are (mostly) indistinguishable.

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Delete Remove the cap. Destroy the object once the last cap is gone.

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Revoke Destroy all objects derived (via retype) from this one.

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Revoke Destroy all objects derived (via retype) from this one.

Delete and Revoke call each other, and are long-running.

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These *mutate* the authority DB:

Mint/Retype Derive new sub-objects, and caps to them.

Copy Create a new cap to an object. The old and new caps are (mostly) indistinguishable.

Move Move caps within or between CNodes.

Delete Remove the cap. Destroy the object once the last cap is gone.

Revoke Destroy all objects derived (via retype) from this one.

Delete and Revoke call each other, and are long-running. The recursion is not atomic — *Preemptible* on seL4, done in a *user-level monitor* on Barrelfish.

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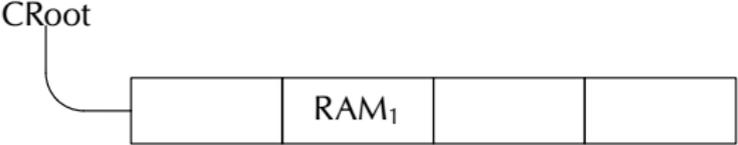
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Retype



RAM₁

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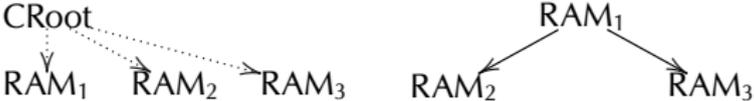
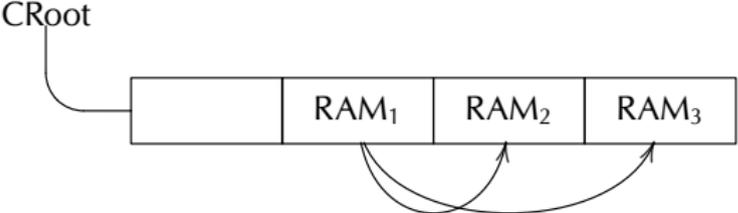
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RAM caps may be split.

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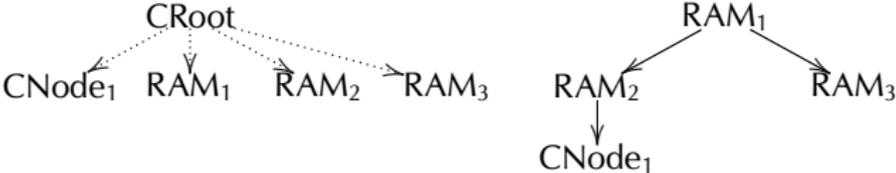
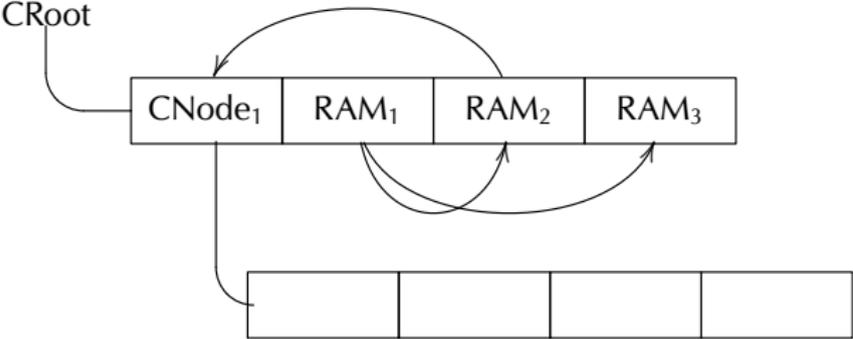
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CNodes are created like other objects.

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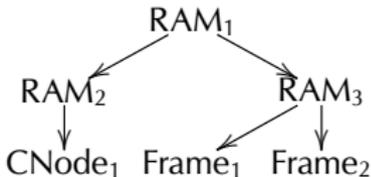
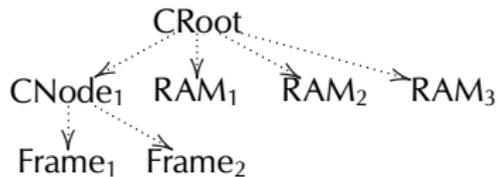
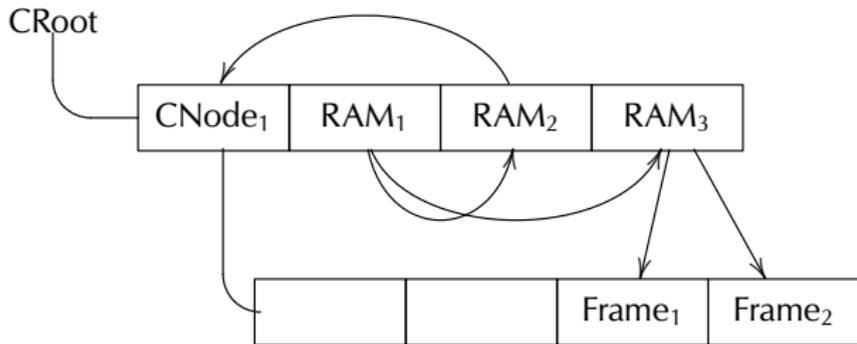
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RAM must become Frames before being mapped.

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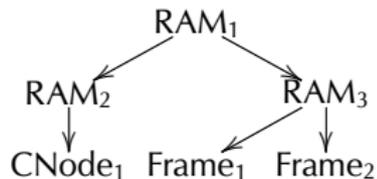
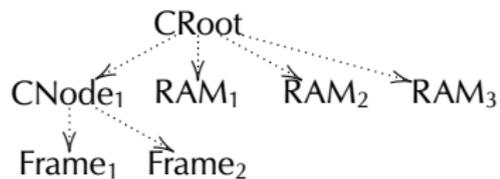
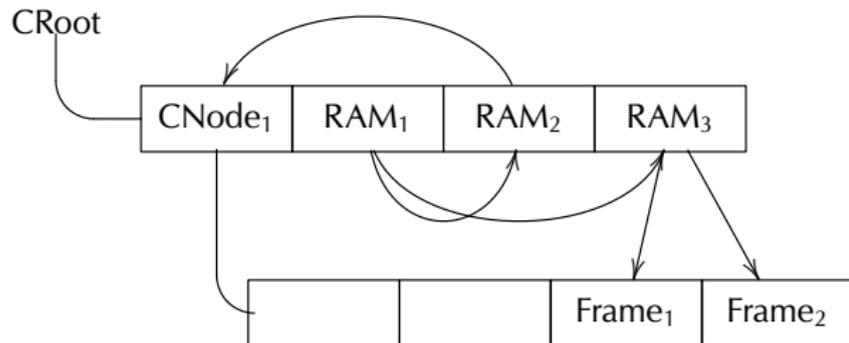
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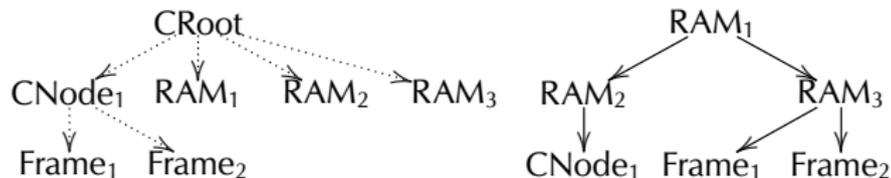
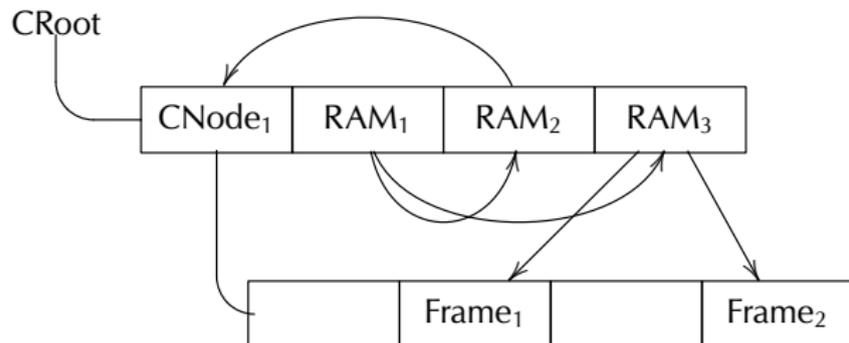
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Moving within CNode doesn't affect trees.

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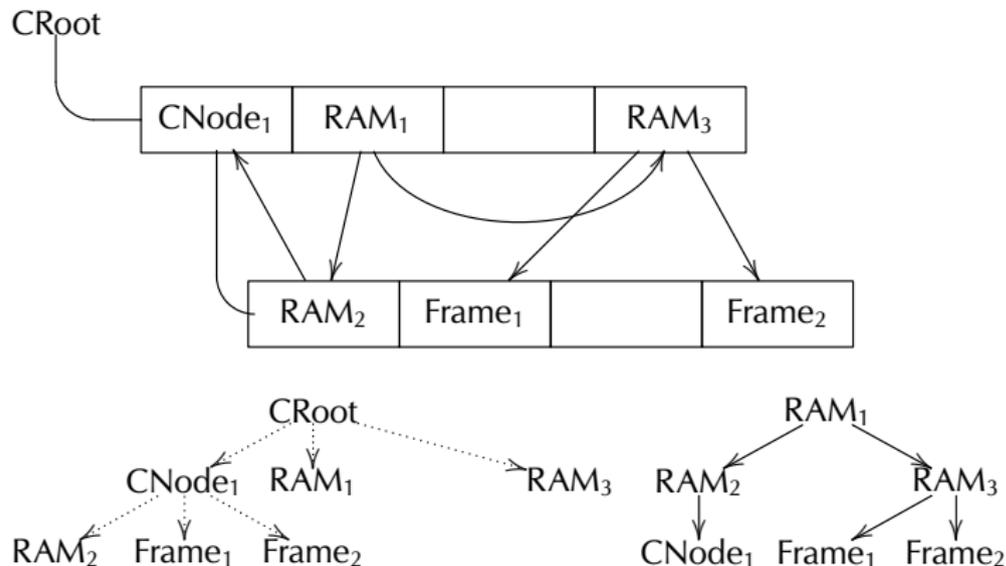
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Moving between affects CSpace but not ancestry.

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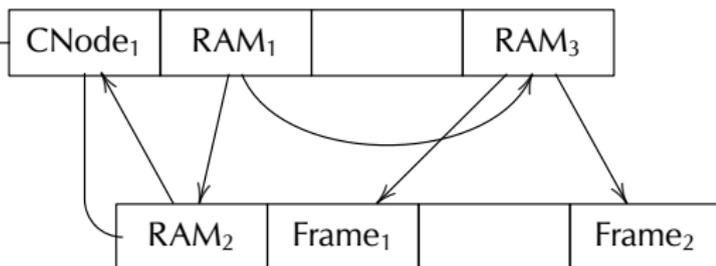
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CRoot



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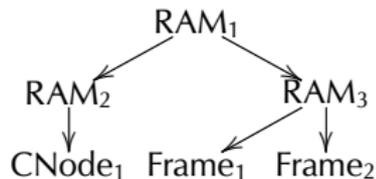
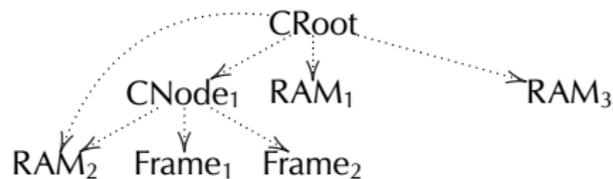
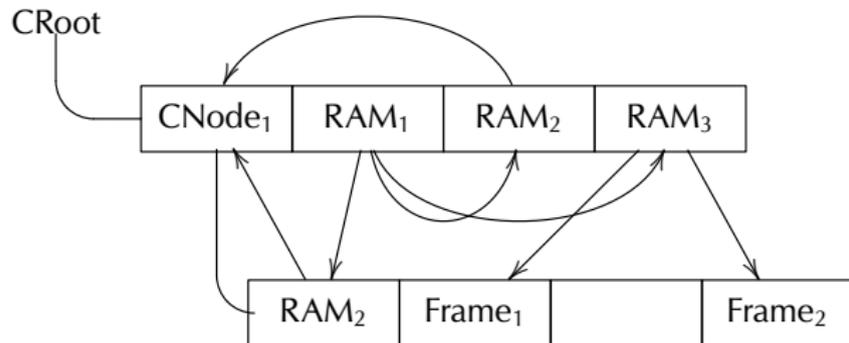
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Copy



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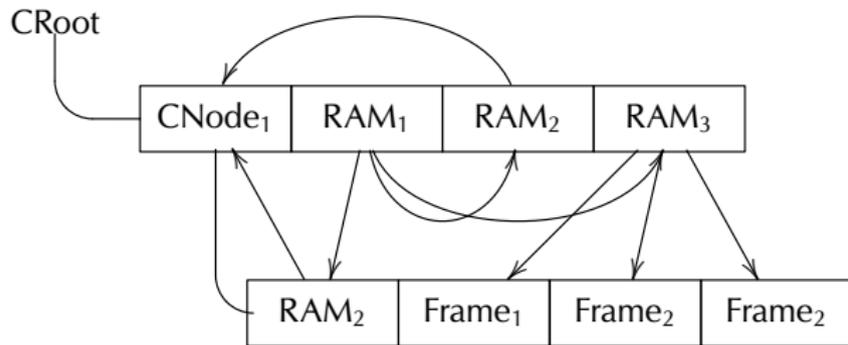
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Copies make the CSpace a proper DAG.

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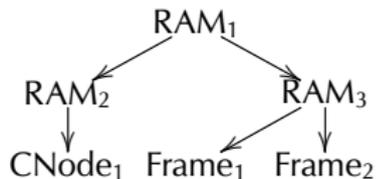
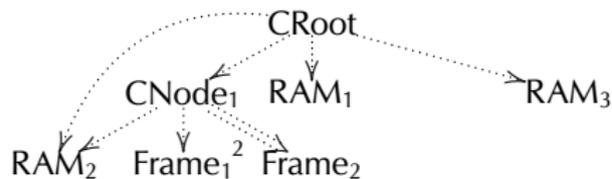
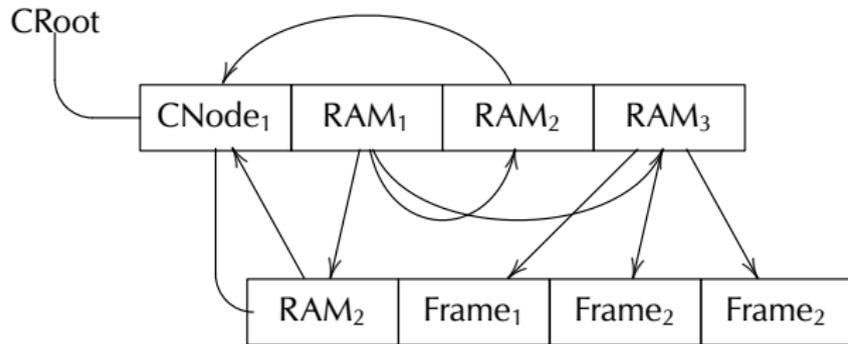
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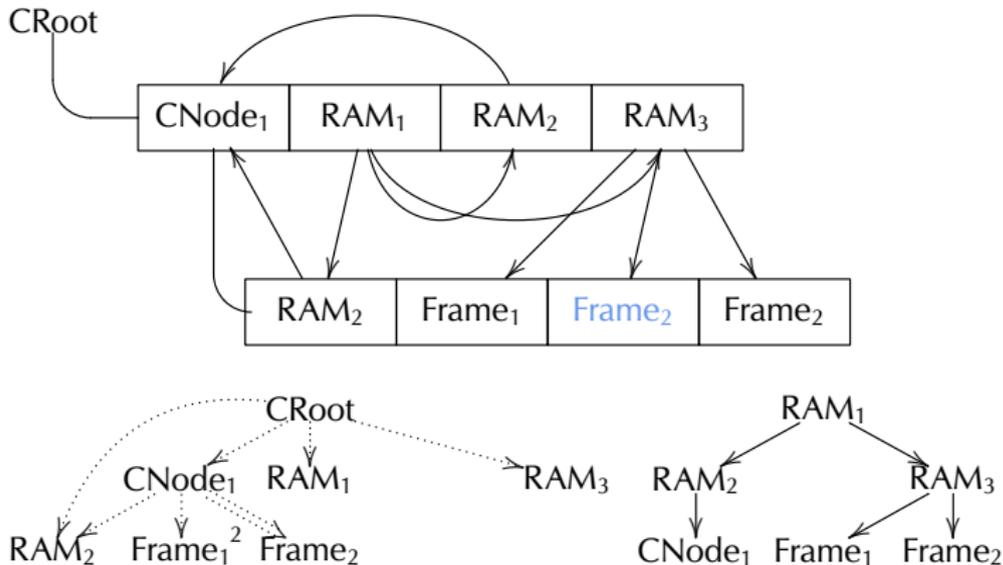
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Delete



Deleting non-final leaf caps is easy.

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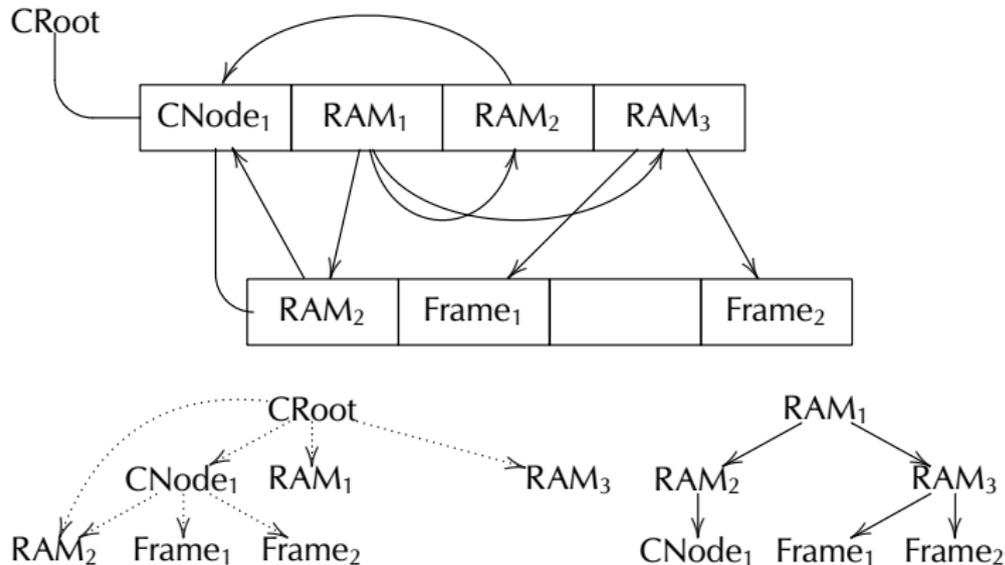
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Deleting non-final leaf caps is easy.

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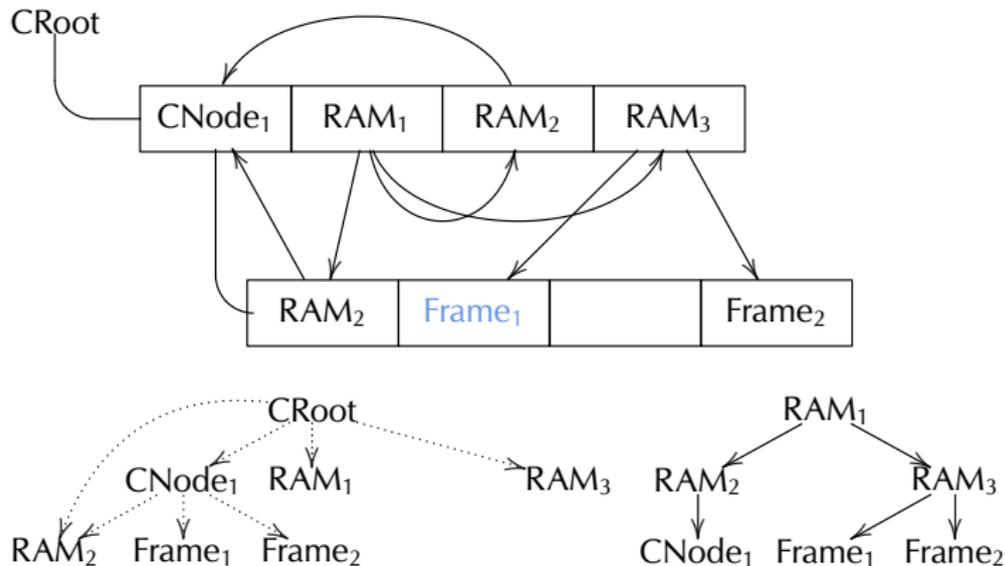
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Deleting the last cap deletes the object.

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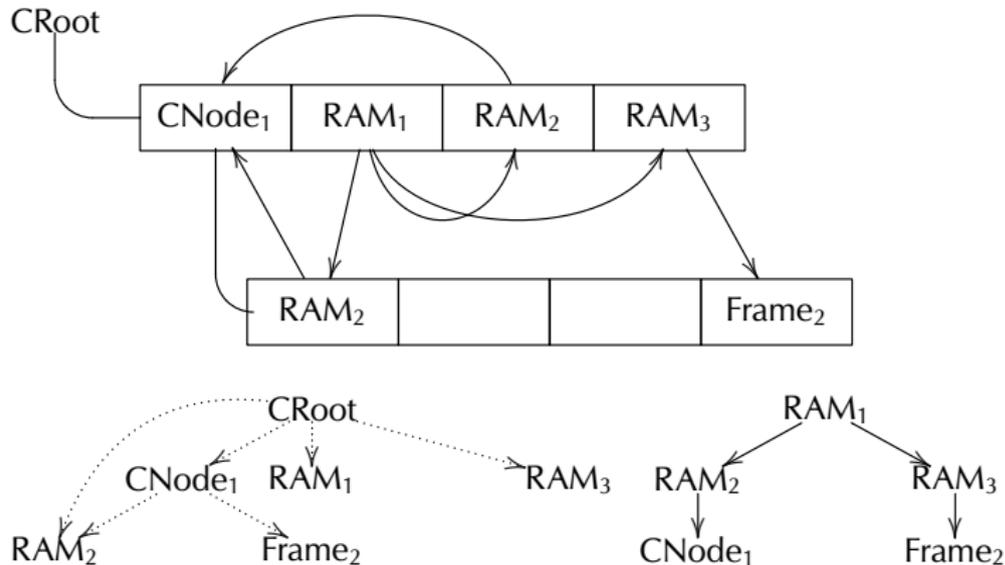
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Deleting the last cap deletes the object.

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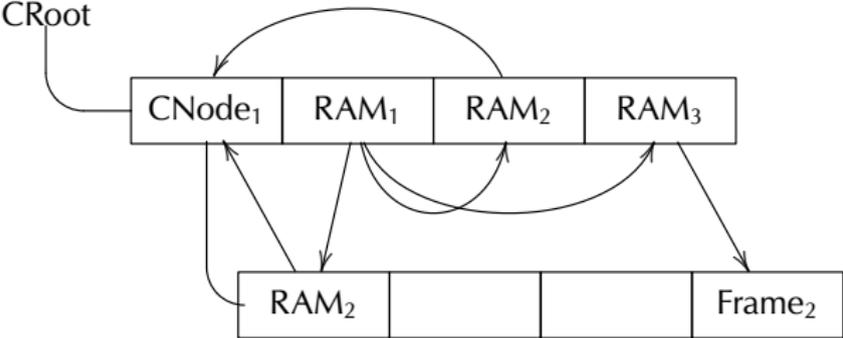
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Revoke



Revoke walks the ancestry tree.

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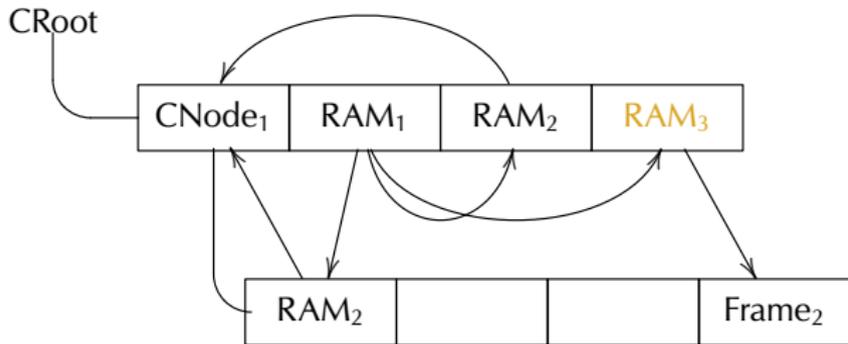
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Mark RAM₃ for revocation.

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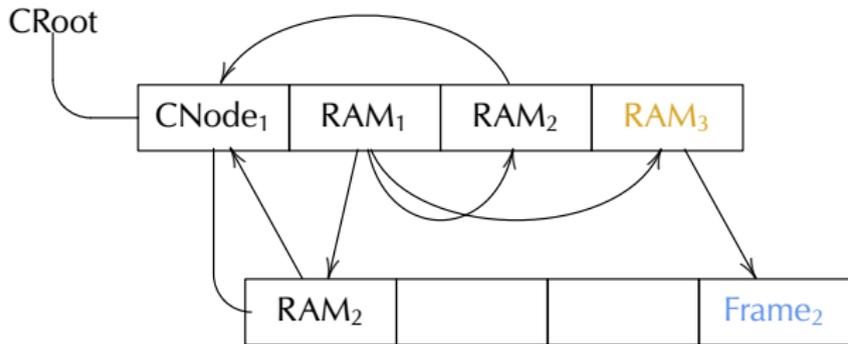
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Revoke



Mark its descendents for deletion.

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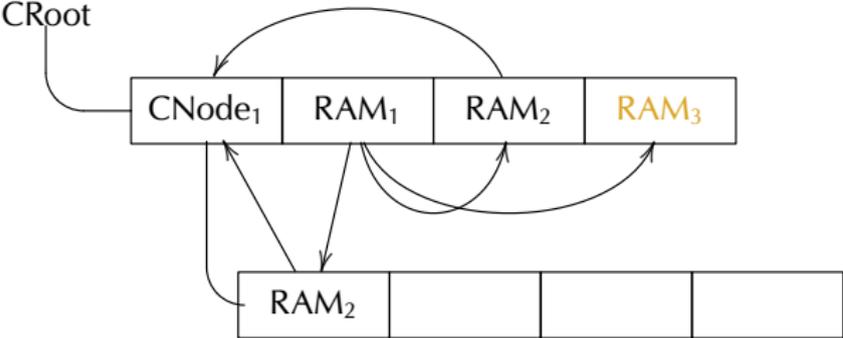
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Revoke



Delete them.

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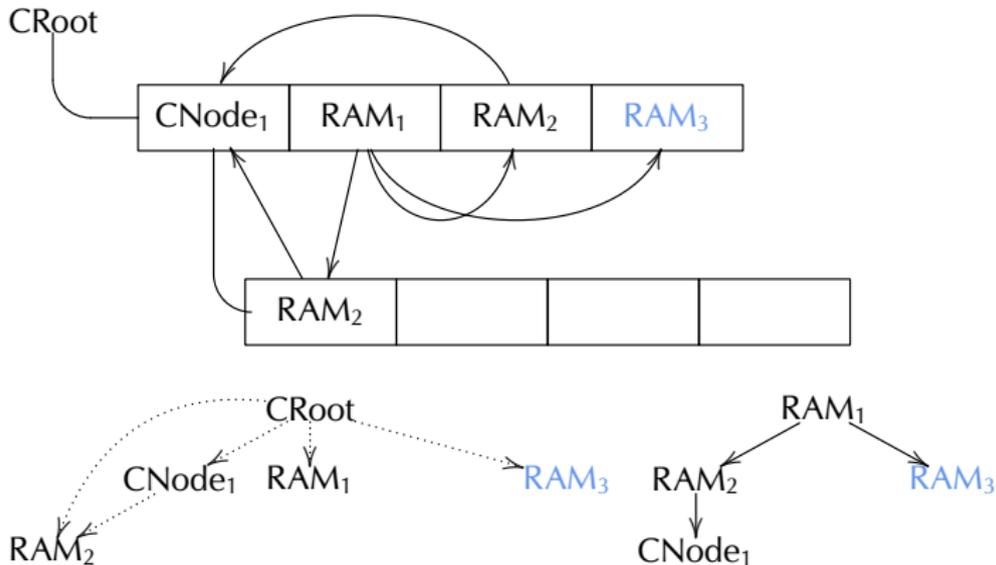
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The root can now be deleted, if required.

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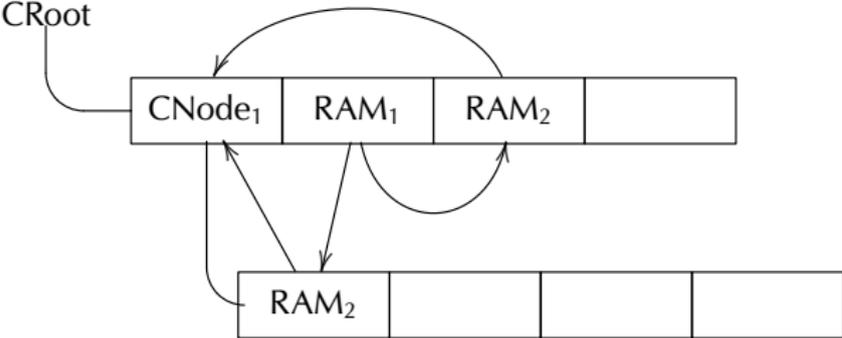
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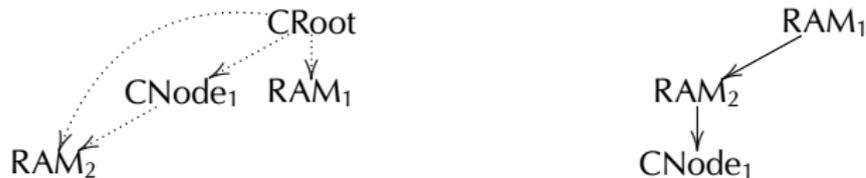
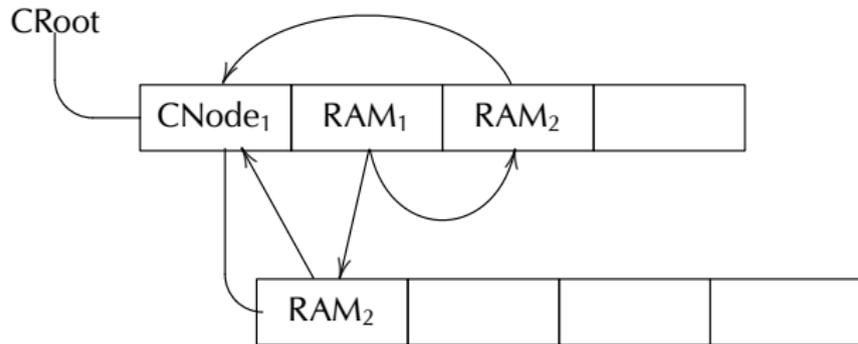
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Recursive Revoke & Delete



Move RAM₁.

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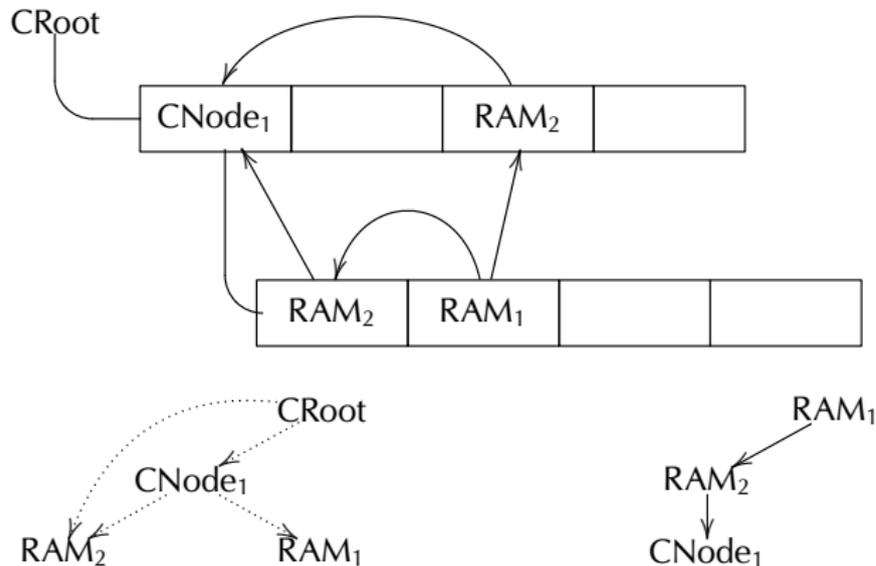
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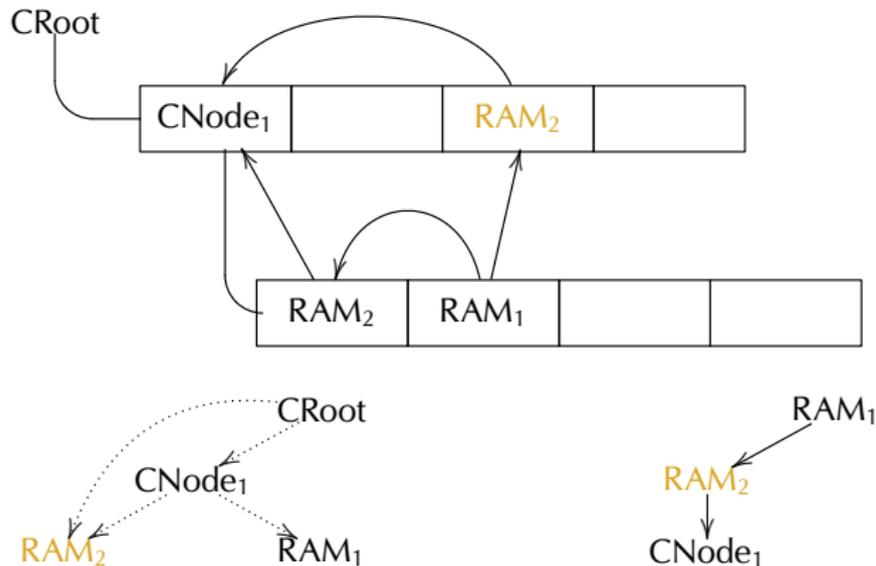
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There's now a loop, with links in *both* trees.

Recursive Revoke & Delete



Let's revoke RAM₂, a *child*.

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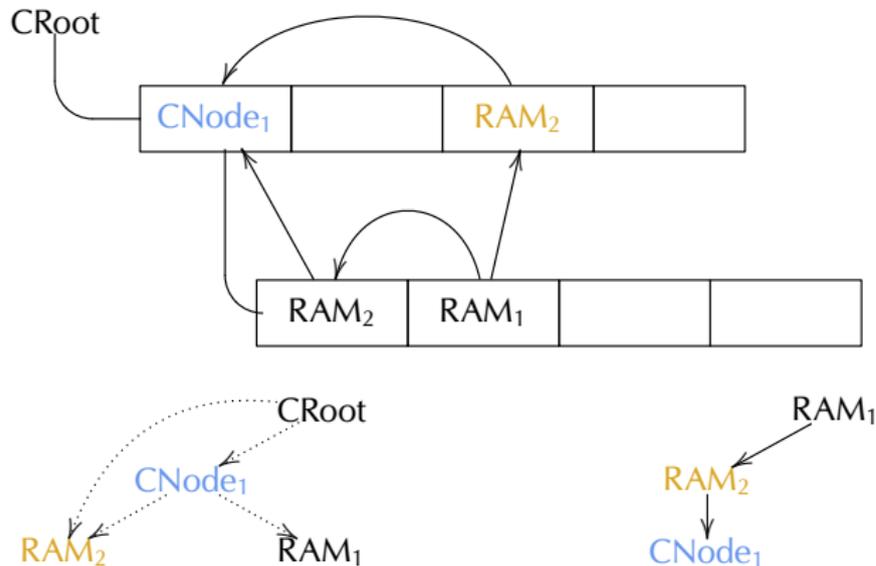
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Mark its descendents for deletion.

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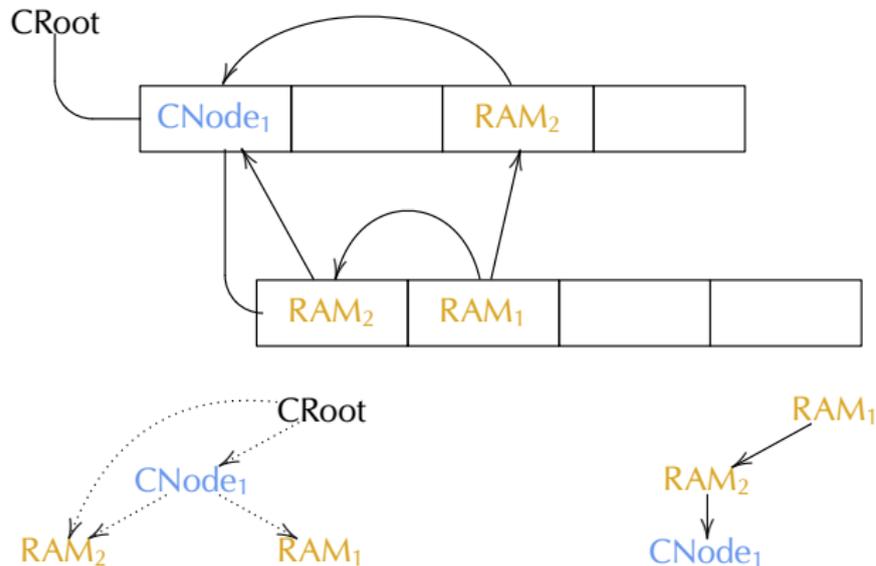
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Deleting a CNode first deletes (revokes) its contents.

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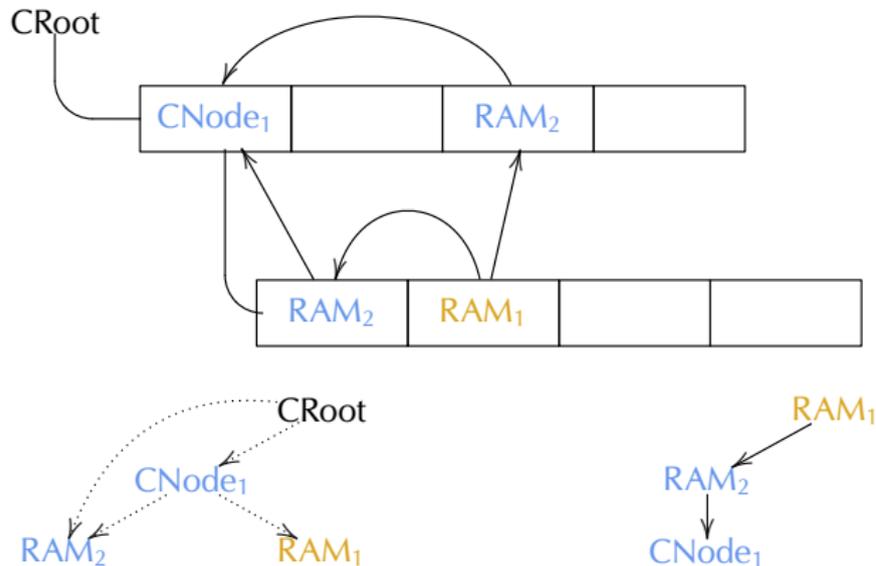
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Revoking RAM₂ deletes RAM₁.

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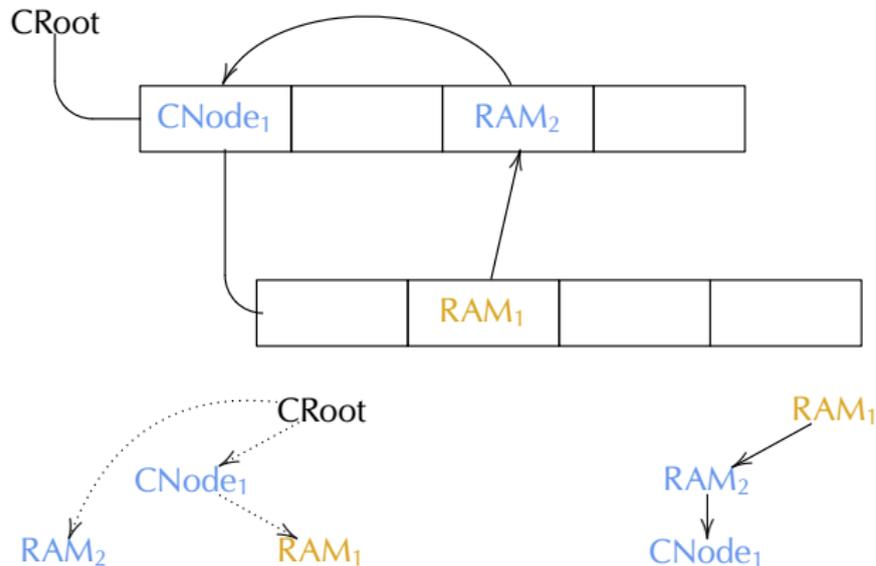
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Delete starts bottom up.
This RAM₂ cap is safe to delete.

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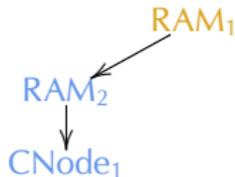
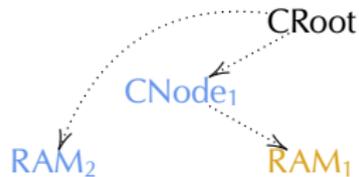
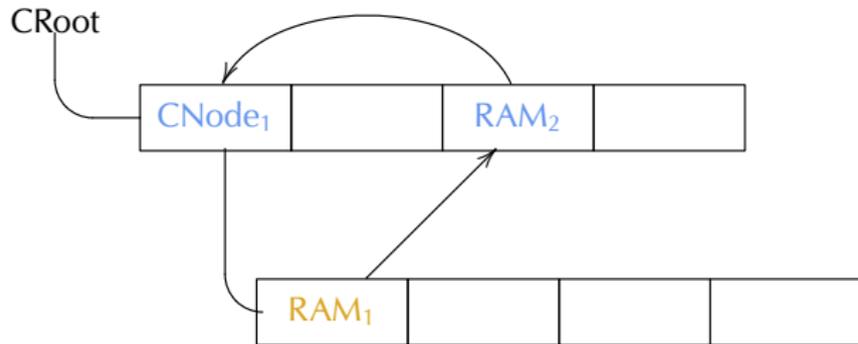
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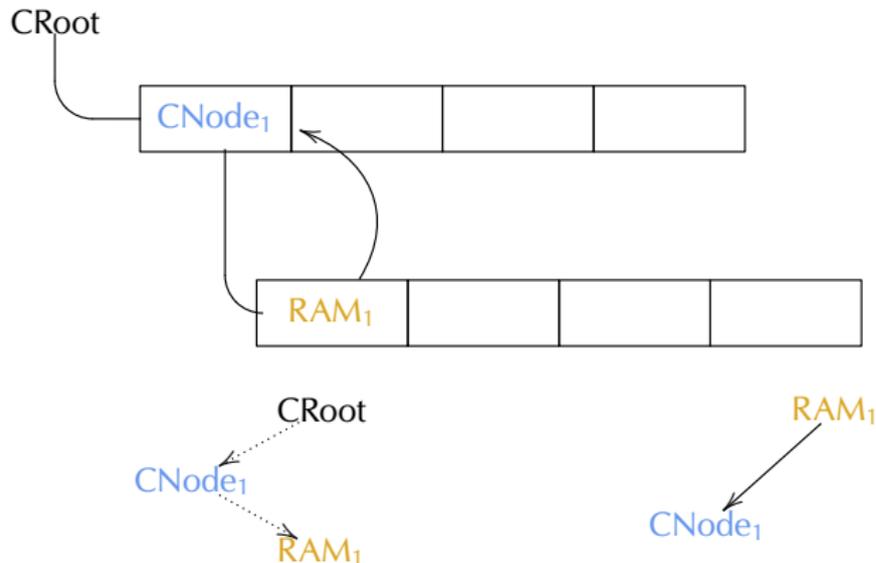
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When RAM₂ is destroyed, RAM₁ adopts children.
Now we've got an irreducible cycle.

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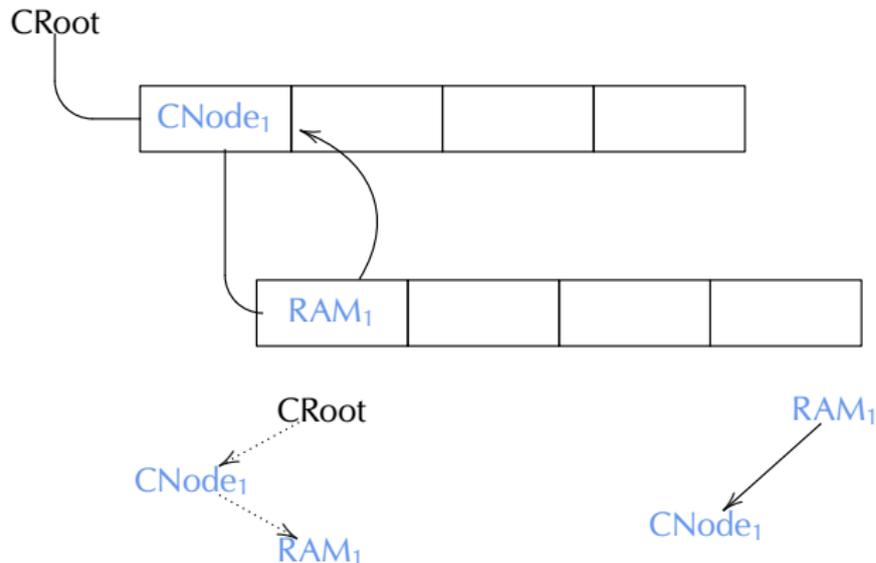
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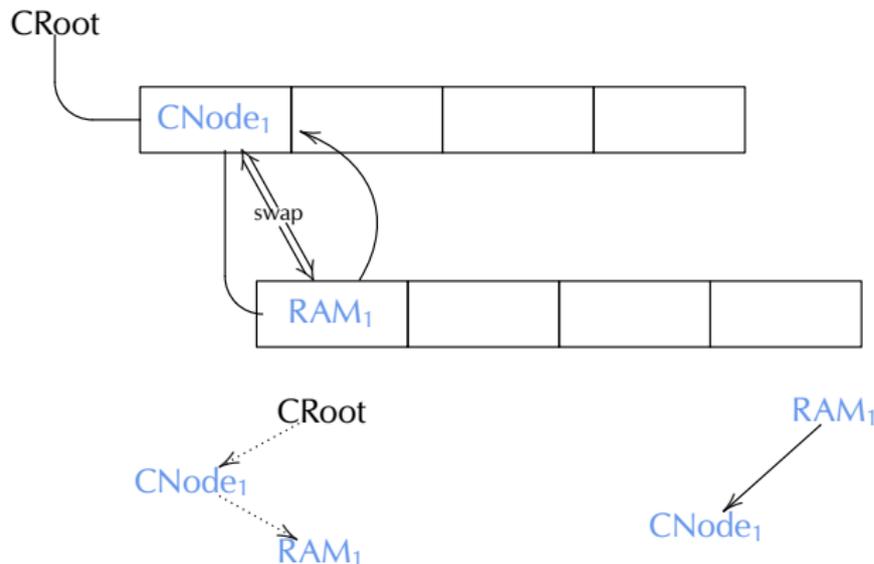
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RAM₁'s revoke is finished, now delete it, but how?

Recursive Revoke & Delete



In seL4, we swap the last two caps.

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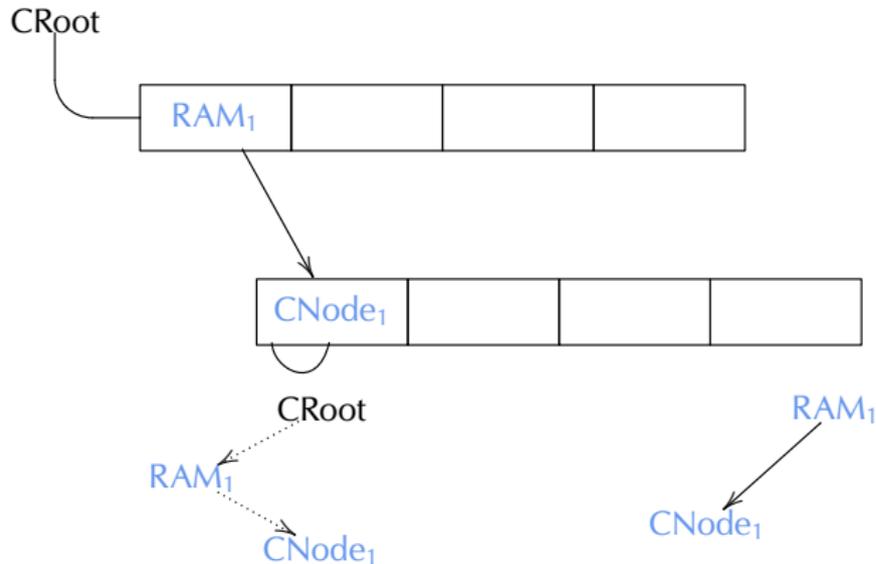
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CNode₁ can now safely be deleted.

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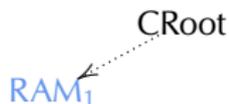
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RAM₁

Finally, RAM₁ goes too.

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CRoot

This process accidentally destroyed its whole world.

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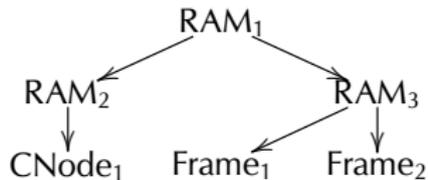
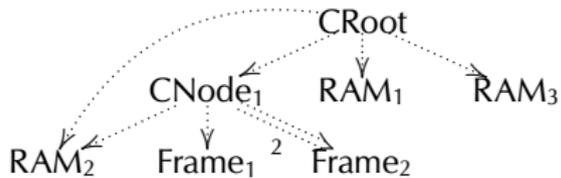
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In seL4



Capabilities in seL4

David Cock

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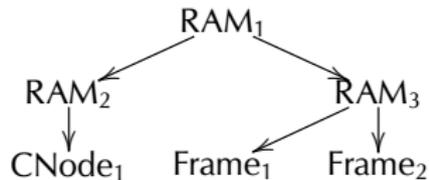
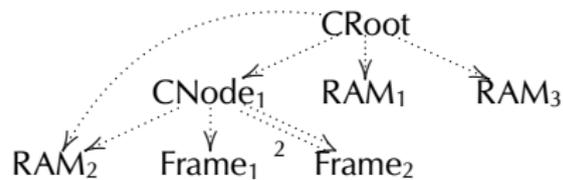
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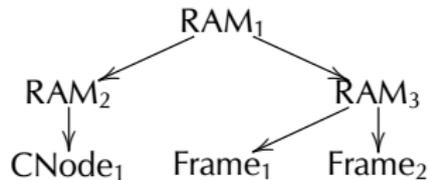
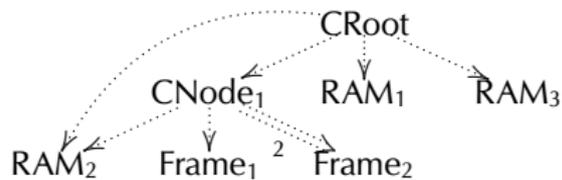
In seL4



- Ancestry is a tree (forest).

Invariants

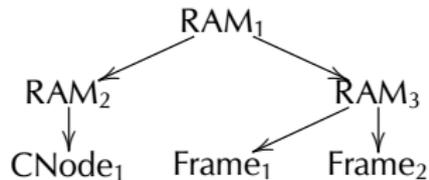
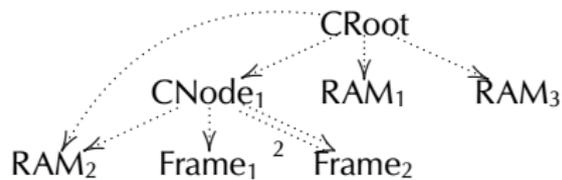
In seL4



- Ancestry is a tree (forest).
- $\exists \text{Object} \rightarrow \exists \text{Cap}$.

Invariants

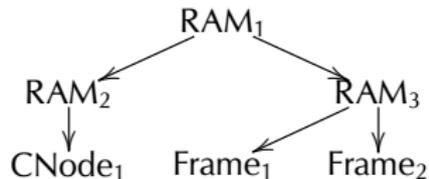
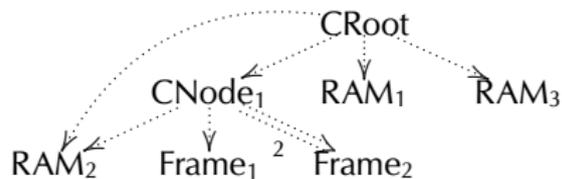
In seL4



- Ancestry is a tree (forest).
- $\exists \text{Object} \rightarrow \exists \text{Cap}$.
- Barrelfish is not identical.

Invariants

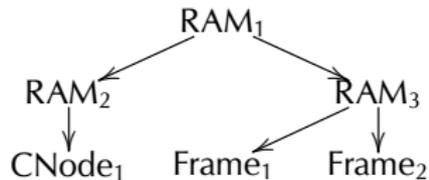
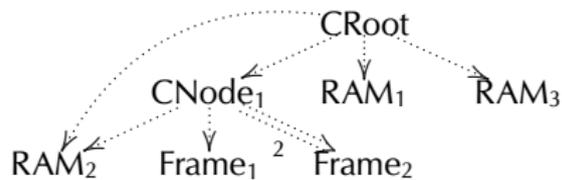
In seL4



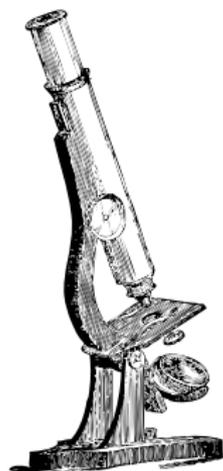
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We're not sure *exactly* how yet.

Invariants

In seL4



- Ancestry is a tree (forest).
- $\exists \text{Object} \rightarrow \exists \text{Cap}$.
- Barrelfish is not identical.
We're not sure *exactly* how yet.
We'd really like to.



We know quite a bit already (in the context of seL4).

- Implementation proof.
- Integrity proof.
- Confidentiality proof.
- Applications of user-level allocation.

David Cock

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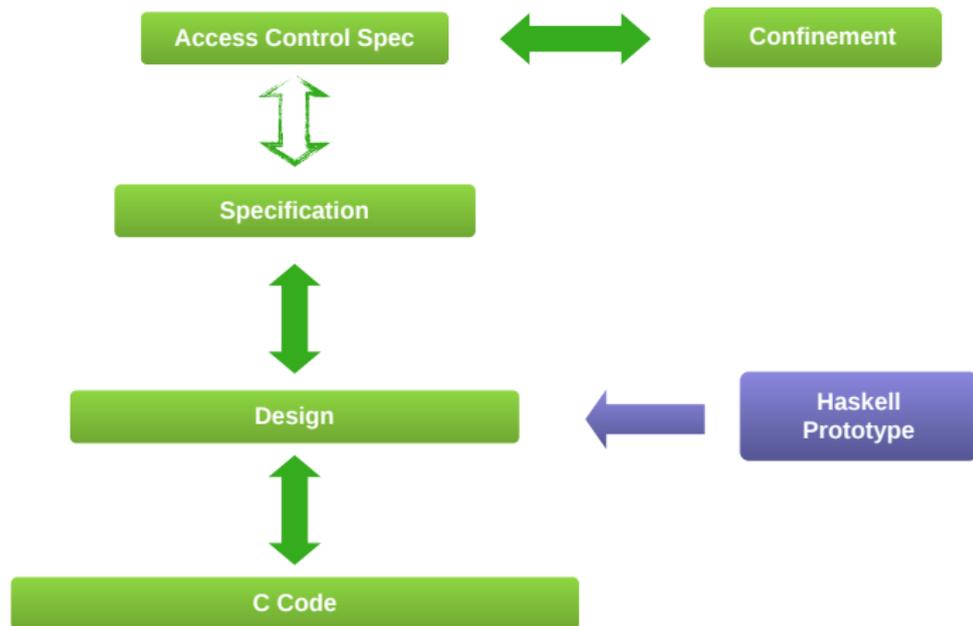
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The System is Correctly Implemented



The System is Correctly Implemented

Capabilities in seL4

David Cock

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The abstract spec is all that matters now!

Authority Confinement

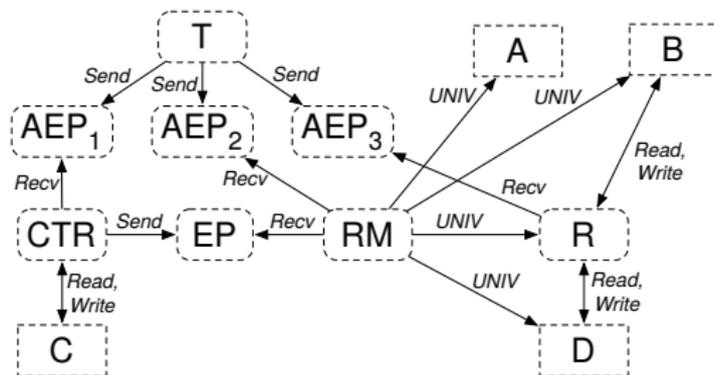


Figure: The Secure Access Controller

seL4 implements the take-grant model:

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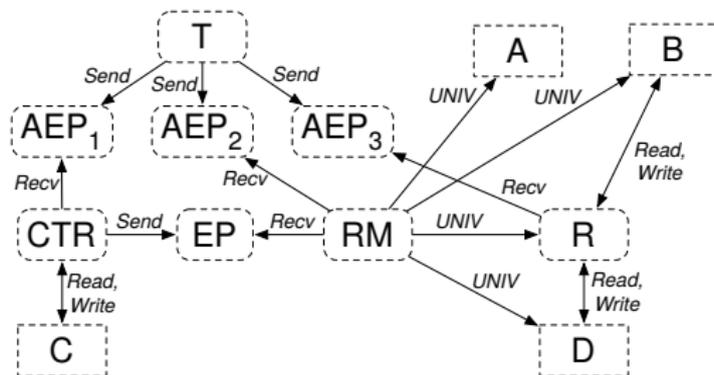


Figure: The Secure Access Controller

seL4 implements the take-grant model:

Confinement Authority (caps) only flows along edges.

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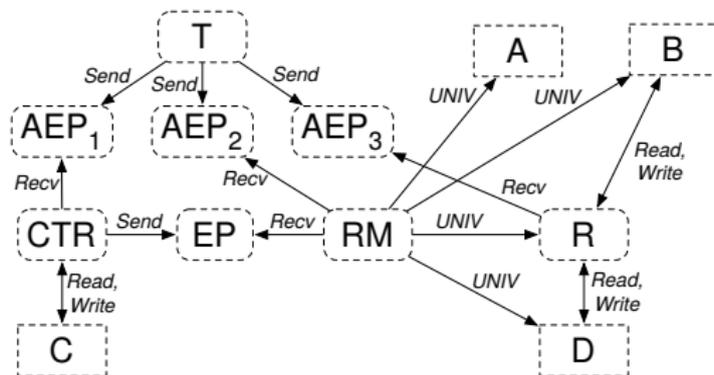


Figure: The Secure Access Controller

seL4 implements the take-grant model:

Confinement Authority (caps) only flows along edges.

Integrity Objects only modified via (transient) authority.

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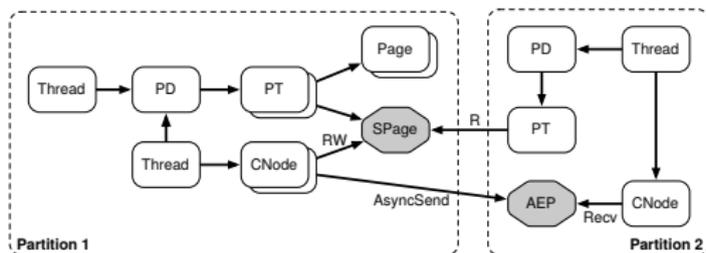
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Information Flow



seL4 enforces information flow policy:

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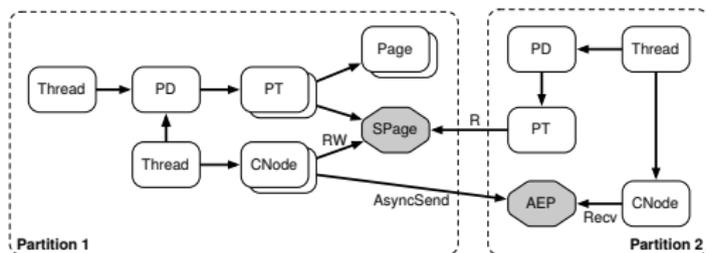
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seL4 enforces information flow policy:

- Builds on integrity proof.

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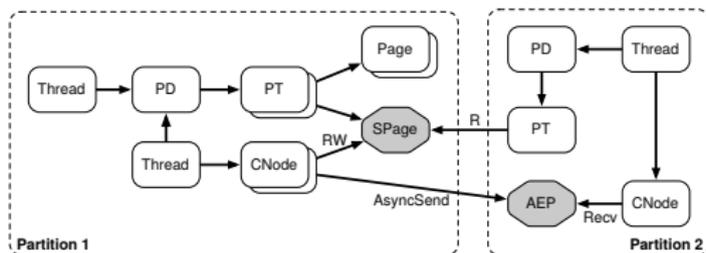
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seL4 enforces information flow policy:

- Builds on integrity proof.
- No flow via kernel mechanisms e.g. scheduler.

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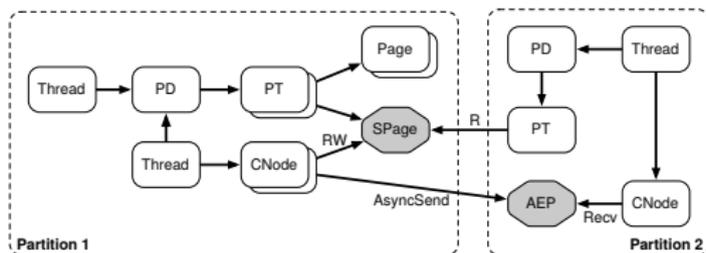
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seL4 enforces information flow policy:

- Builds on integrity proof.
- No flow via kernel mechanisms e.g. scheduler.
- No IPC back channel (data diode).



- Caps aren't slow.
- Strong security results are possible.
- Interposability has seldom been used.

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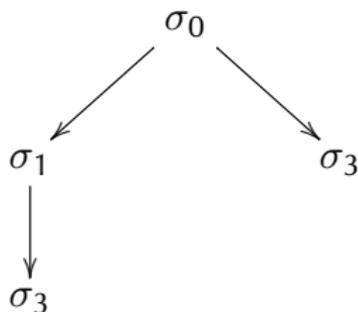
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Questions?

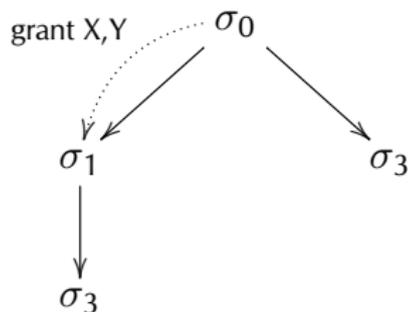
Address Spaces in L4

L4 used hierarchical virtual address spaces, and regions were *granted* to descendents.



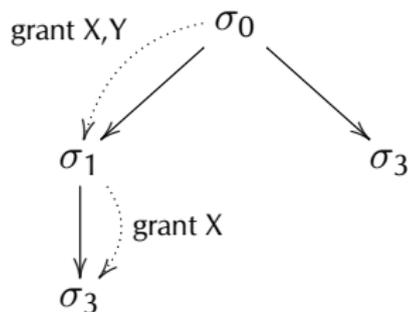
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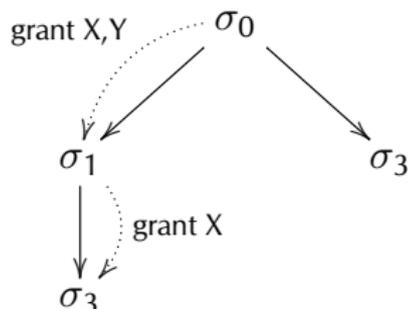
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Address Spaces in L4

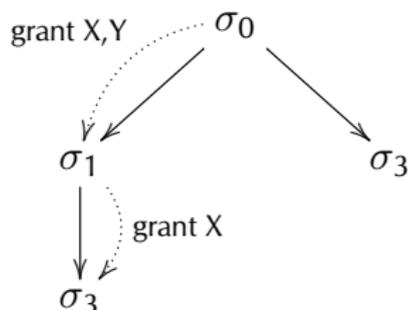
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+ Allowed user paging & delegation.

Address Spaces in L4

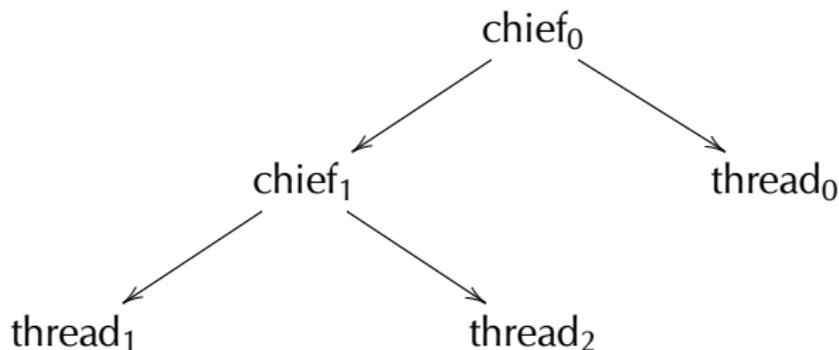
L4 used hierarchical virtual address spaces, and regions were *granted* to descendents.



- + Allowed user paging & delegation.
- Only exposed *virtual* addresses.
- Kernel memory not covered.

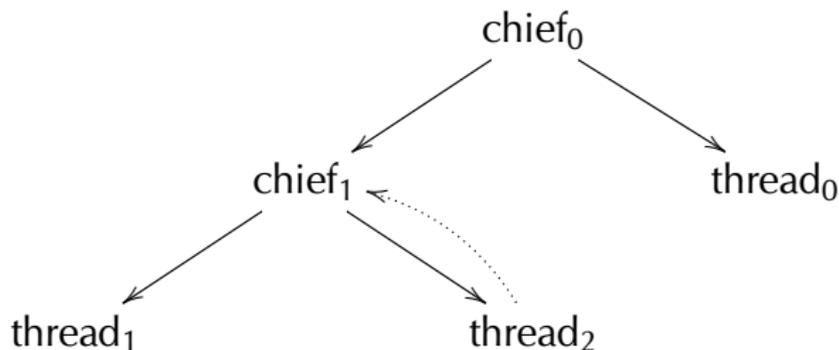
Clans and Chiefs

Threads belong to *clans*. Messages between clans go via *chiefs*.



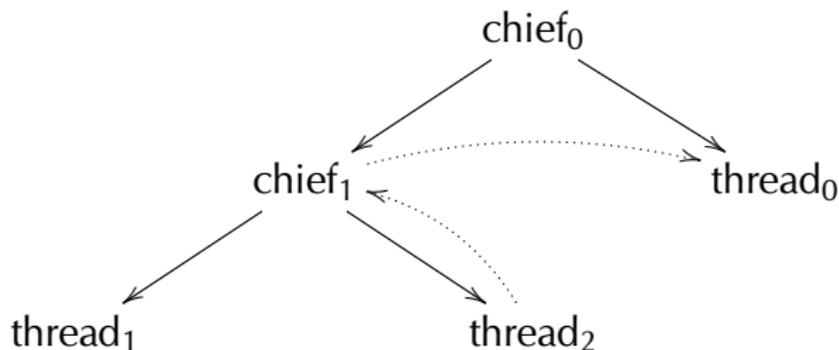
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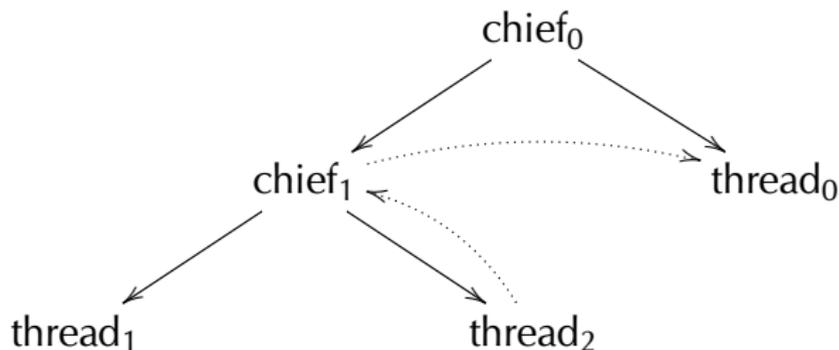
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Clans and Chiefs

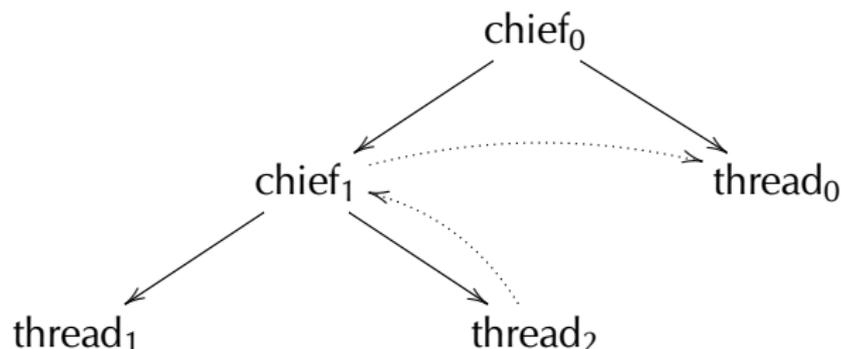
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+ Allows communication control.

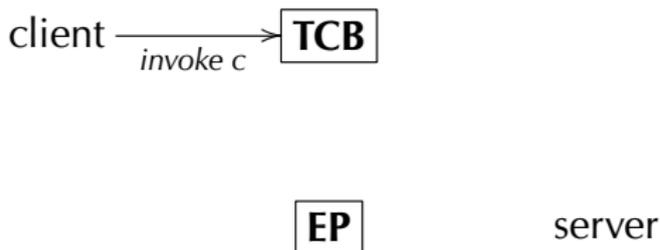
Clans and Chiefs

Threads belong to *clans*. Messages between clans go via *chiefs*.



- + Allows communication control.
- Static and inflexible.
- Introduces latency.
- Addresses still global.

Interposability



Extend system w/o modifying kernel:

- Syscalls are *messages to objects*.
- Send messages by invoking *caps*.

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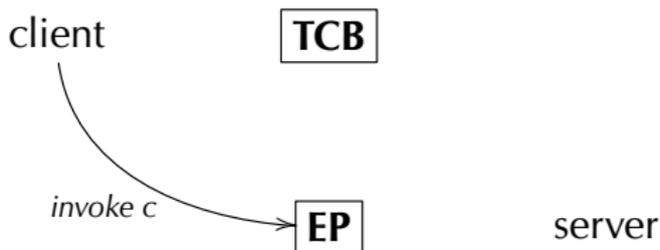
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Extend system w/o modifying kernel:

- Syscalls are *messages to objects*.
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- Transparently replace object cap with *endpoint cap*.

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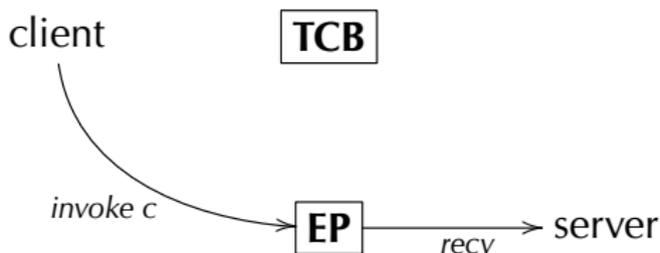
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Interposability



Extend system w/o modifying kernel:

- Syscalls are *messages to objects*.
- Send messages by invoking *caps*.
- Transparently replace object cap with *endpoint cap*.
- Server implements object semantics.

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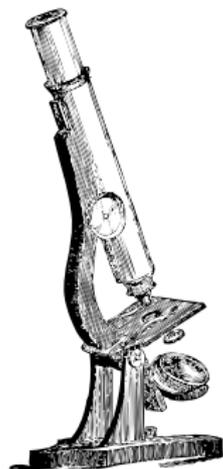
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The cost of verification is high, so avoid kernel changes.

- Mechanisms as general as possible.
- Only one primitive to reason about: *cap invocation*.
- Amenable to analysis: take-grant model.
- Highly flexible resolution/sharing model: GPT.

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seL4 seL4
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Example of delegated allocation:

- Isolate subsystems in cache for performance or security.
- Requires control of physical allocation.
- Also partitions kernel memory, with no kernel changes!

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